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PATENT & TRADEMARK OFFICE

365

PATENT

5/4/01 #8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Application of:

Lars R. Stromme et al.

Serial No.: 09/541,170

Filed: April 3, 2000

Title: **"Method And Apparatus For Document Processing"**

Attorney Docket No. CUMM:267
(47171-00267USC1)

Group Art Unit: 3652

Examiner: Unknown

CERTIFICATE OF MAILING

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Adrienne Morris

Assistant Commissioner for Patents
Washington, D.C. 20231
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COMMUNICATION

Gentlemen:

Our records are unclear as to whether formal drawings were submitted upon filing of the above-referenced application.

The above-identified application is a continuation of Application Serial No. 08/864,423 and further claims benefit to Application Serial No. 09/126,580. The Applicants are submitting herewith drawings (FIGS. 1a-42). The submitted drawings are the same drawings as originally filed in Application Serial No. 08/864,423. Thus, the drawings are supported, *inter alia*, by U.S. Patent Application Serial No. 08/864,423, filed May 28, 1997, entitled "Method and Apparatus for Document Processing" which was incorporated by reference in its entirety at page 2, lines 4-6 of the present application and as indicated on the transmittal sheet which accompanied the filing of the present application. Therefore, the submission of these drawings does not constitute new matter. If you have any questions, please contact us.

Respectfully submitted,

April 26, 2001
Date

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Reg. No. 41,774
Jenkens & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799
(312) 425-3900
Attorneys for Applicants

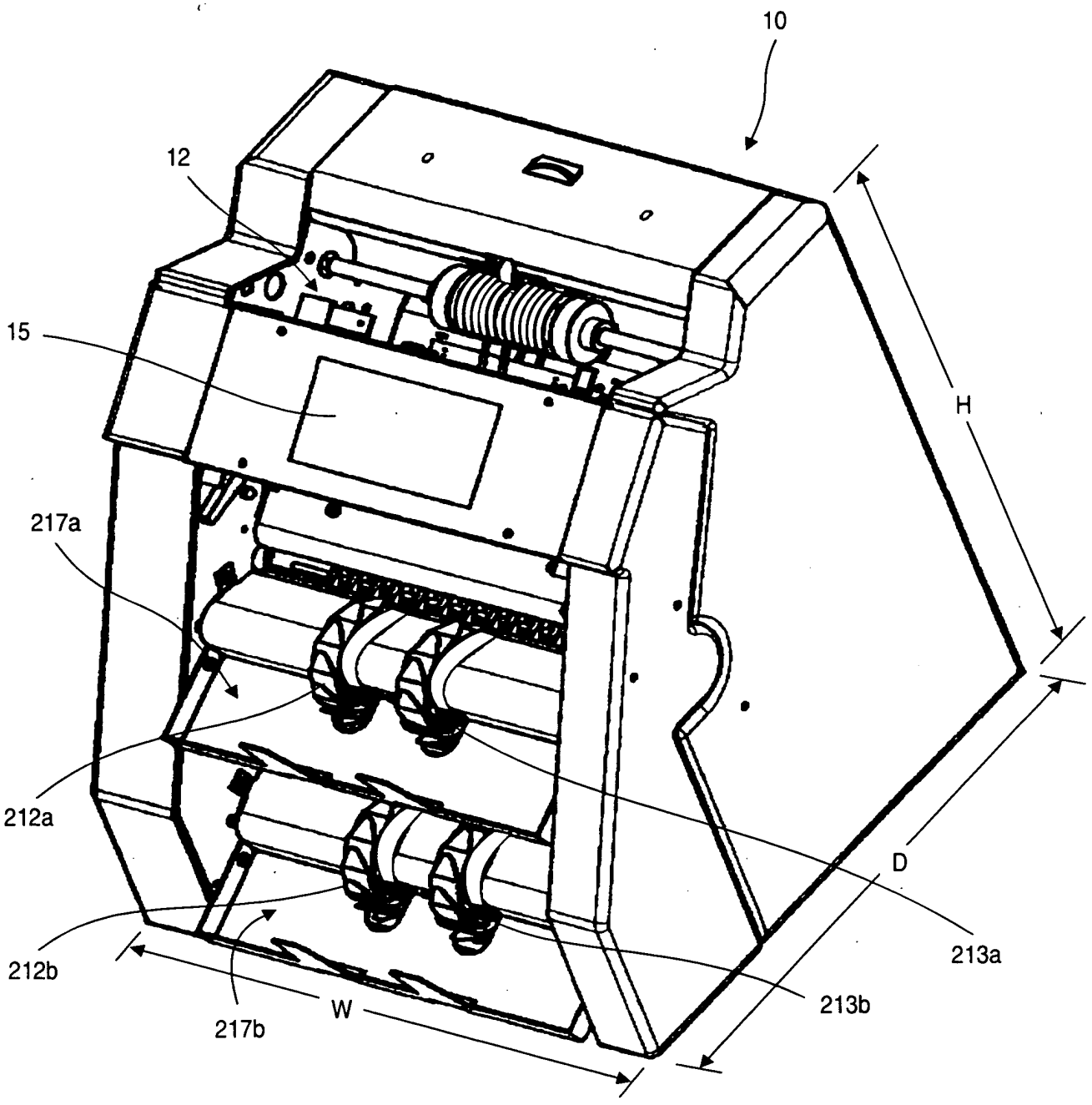


FIG. 1a

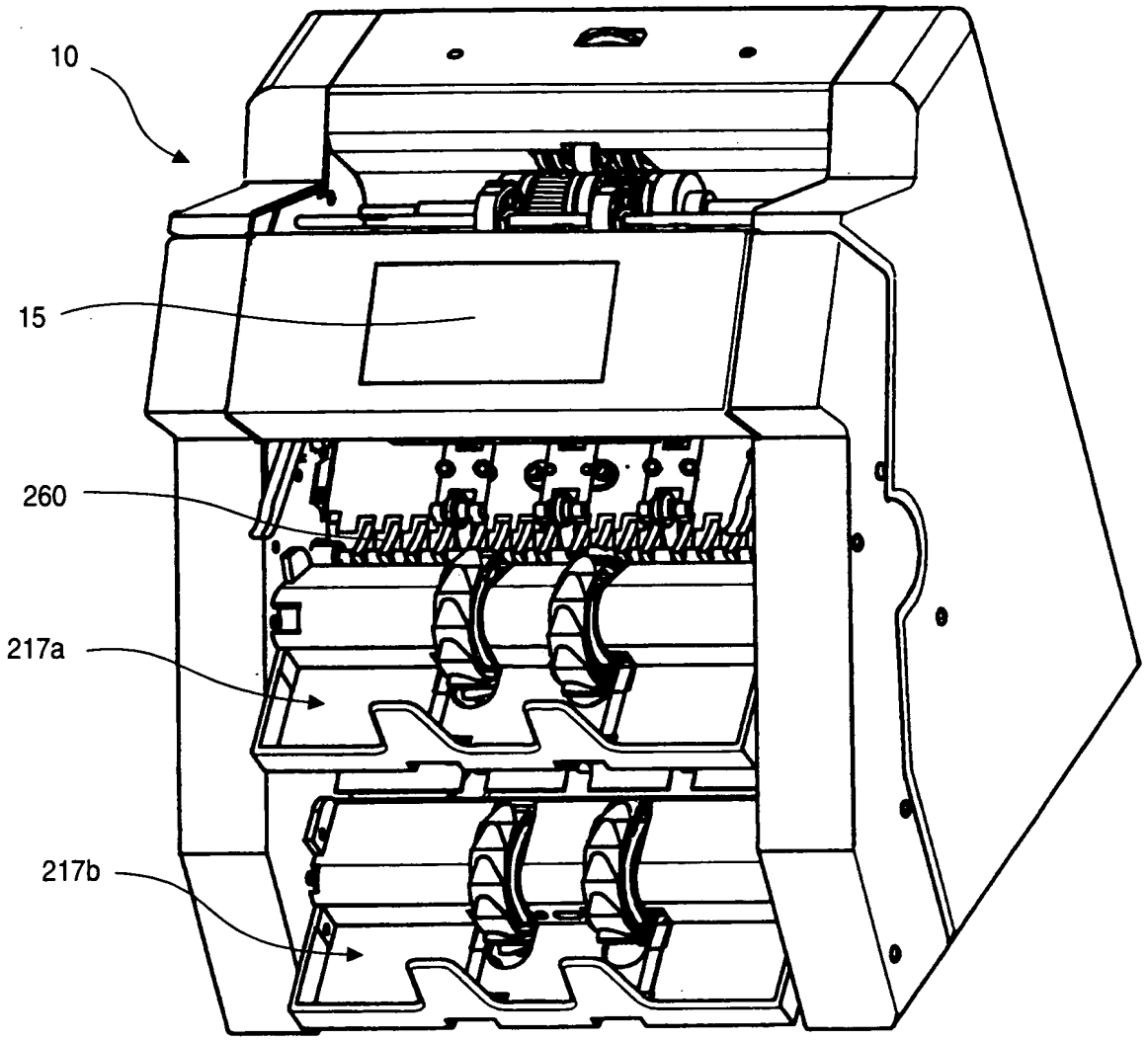
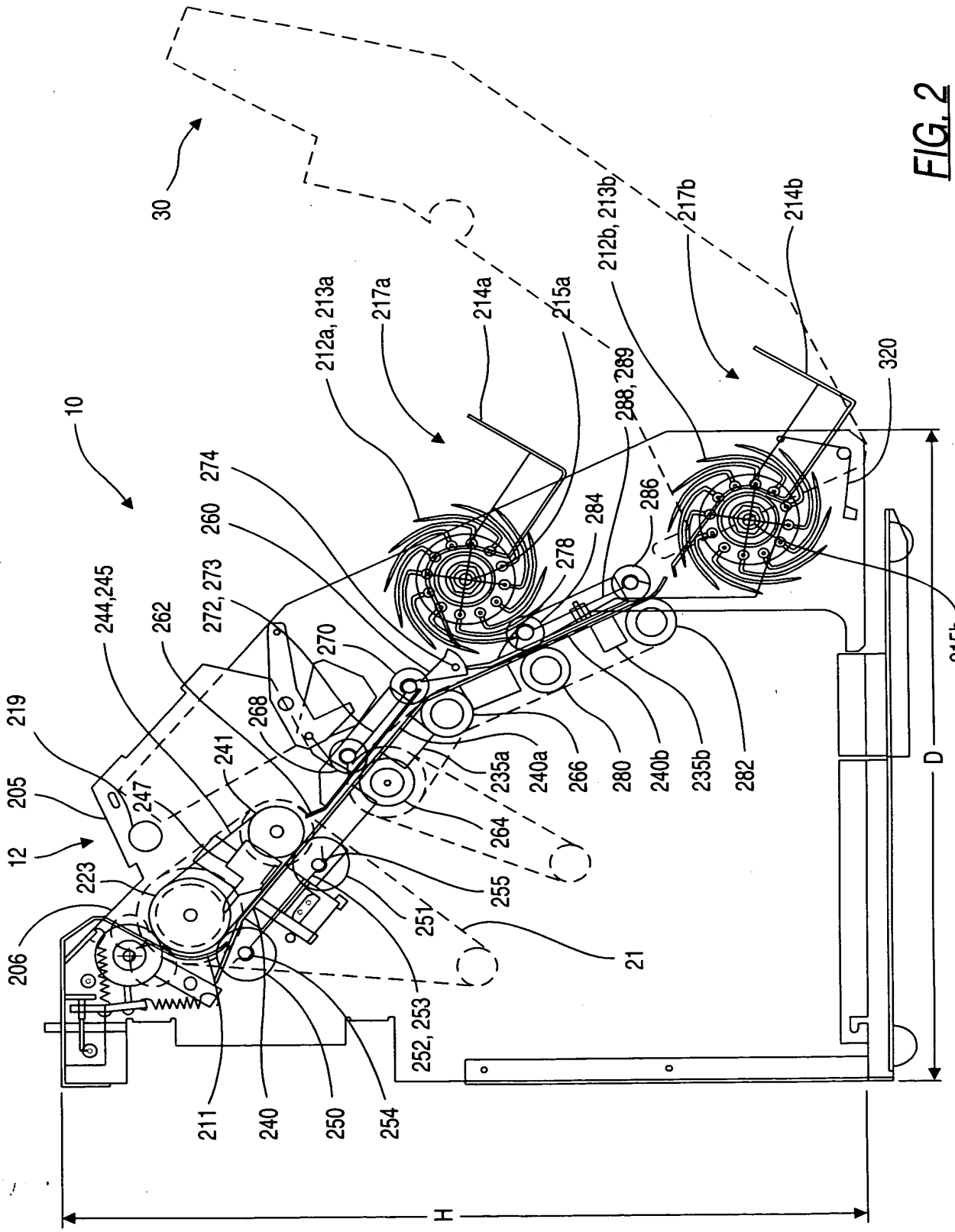


FIG. 1b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D
H

FIG. 2

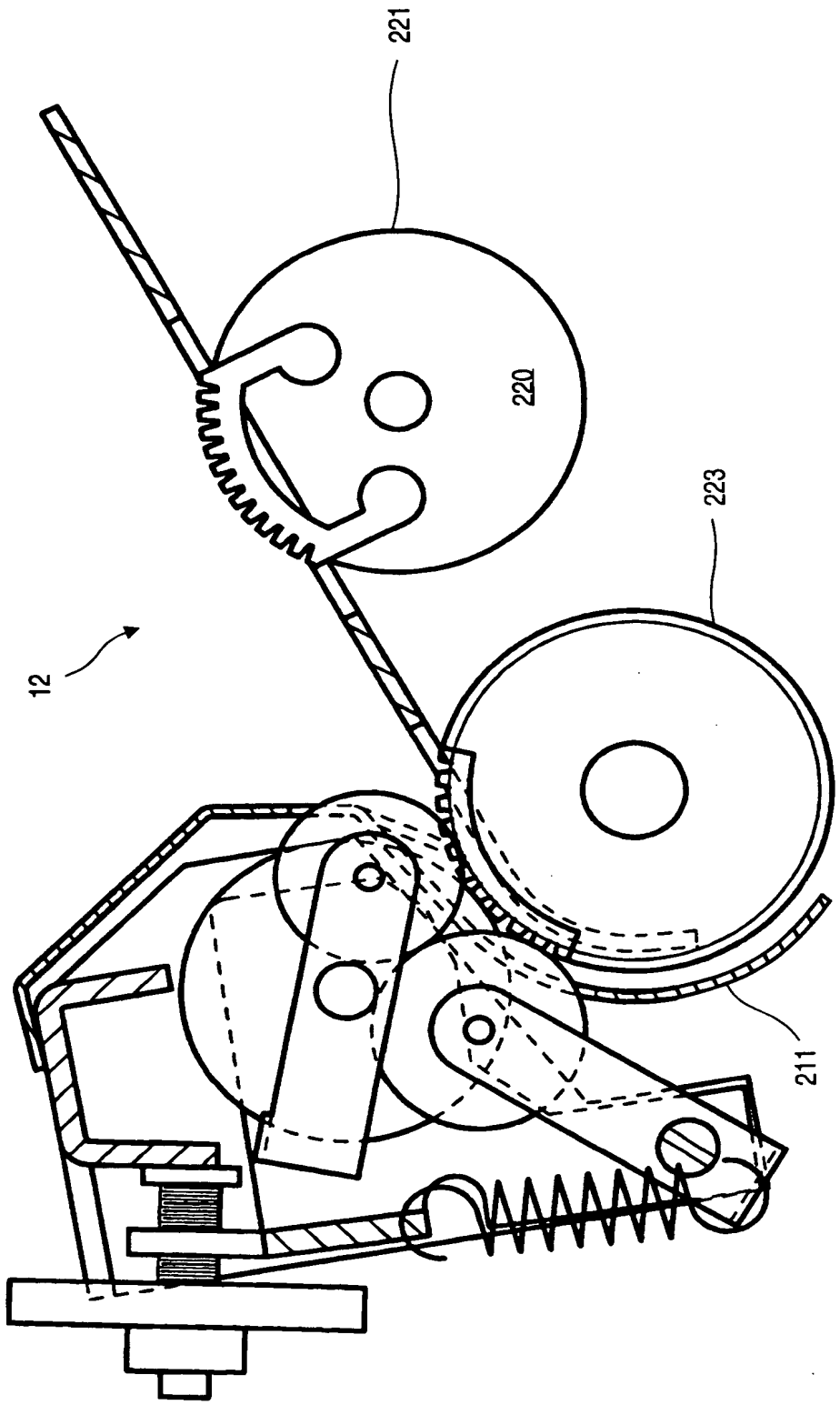


FIG. 3

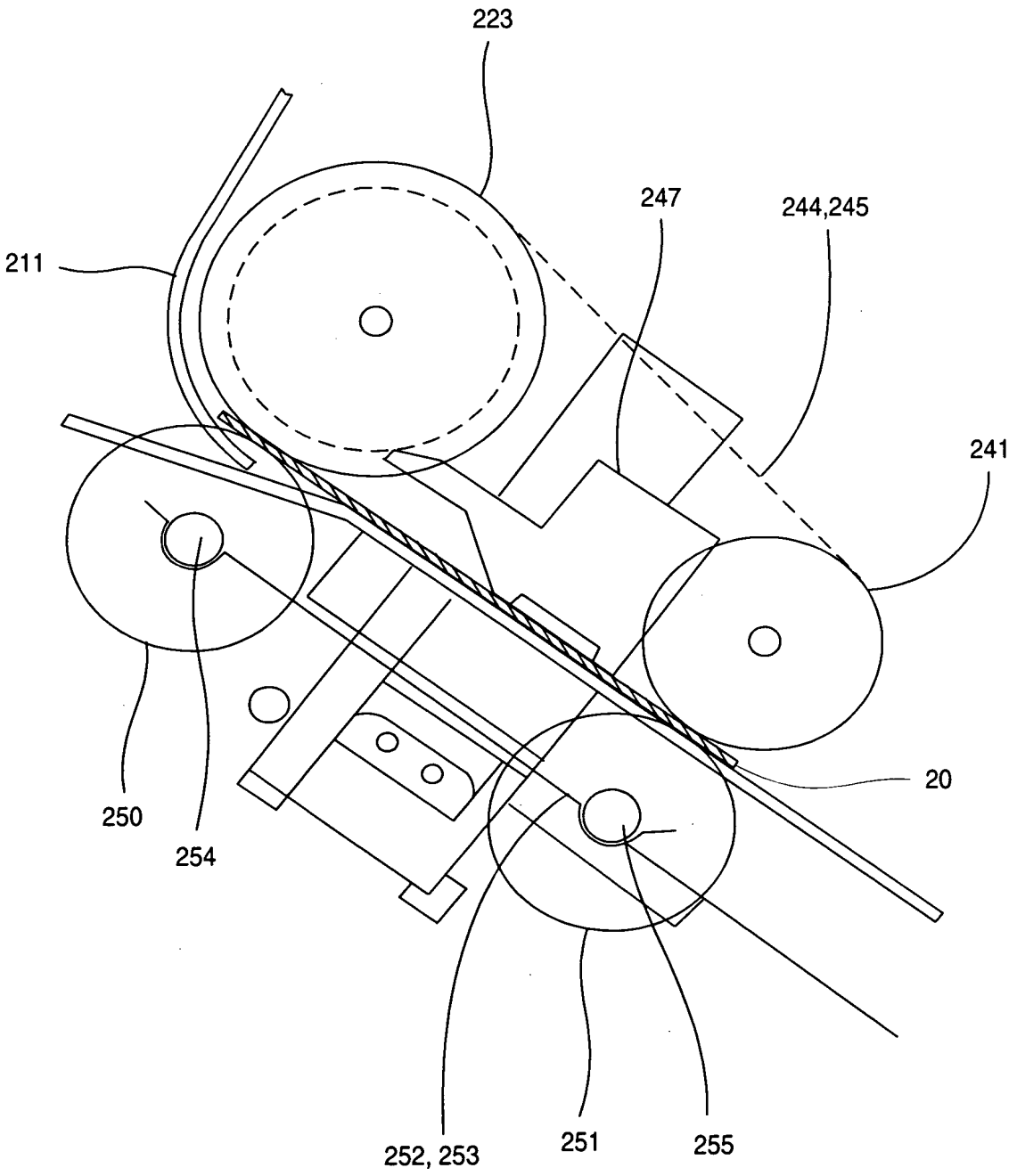


FIG. 4

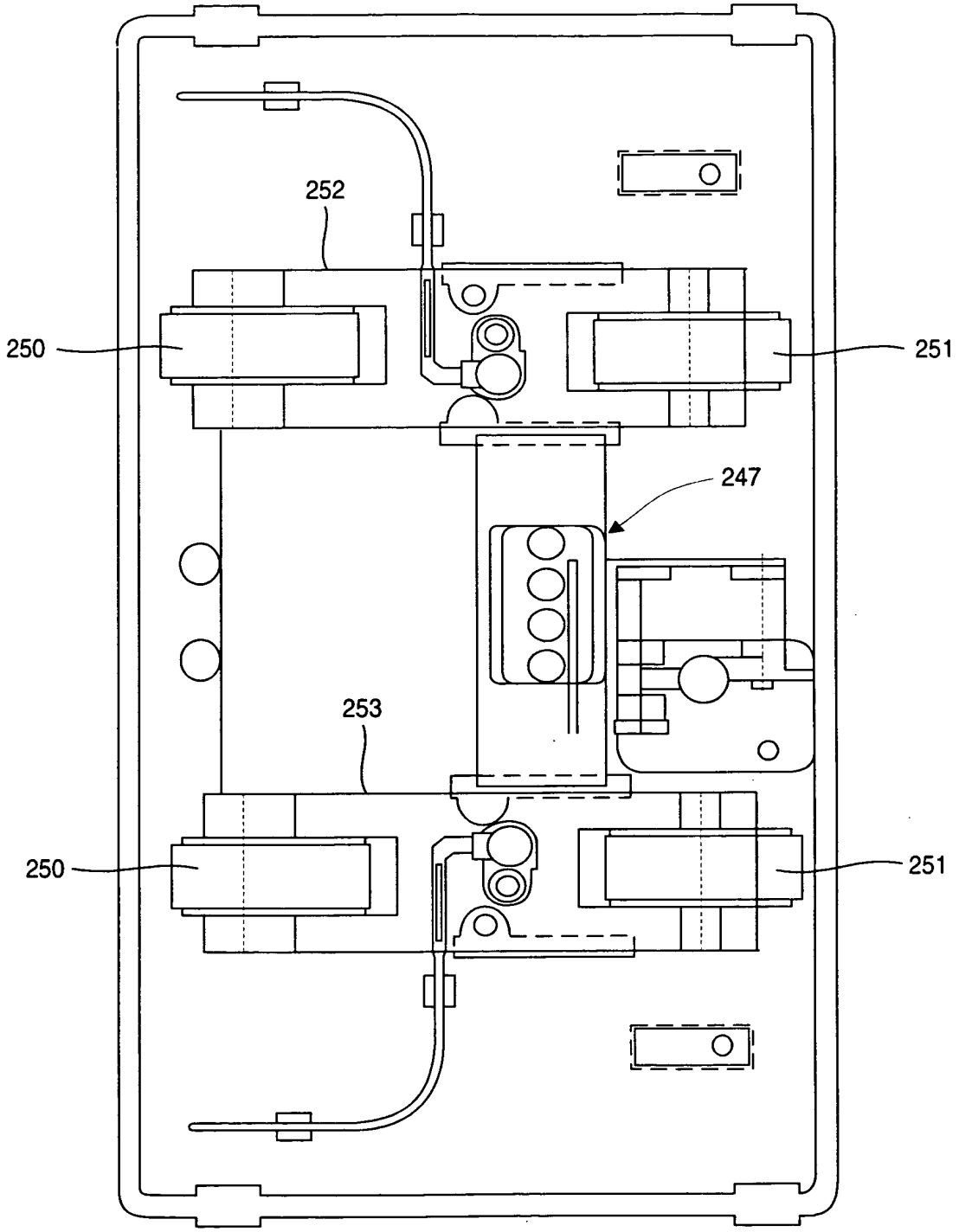


FIG. 5

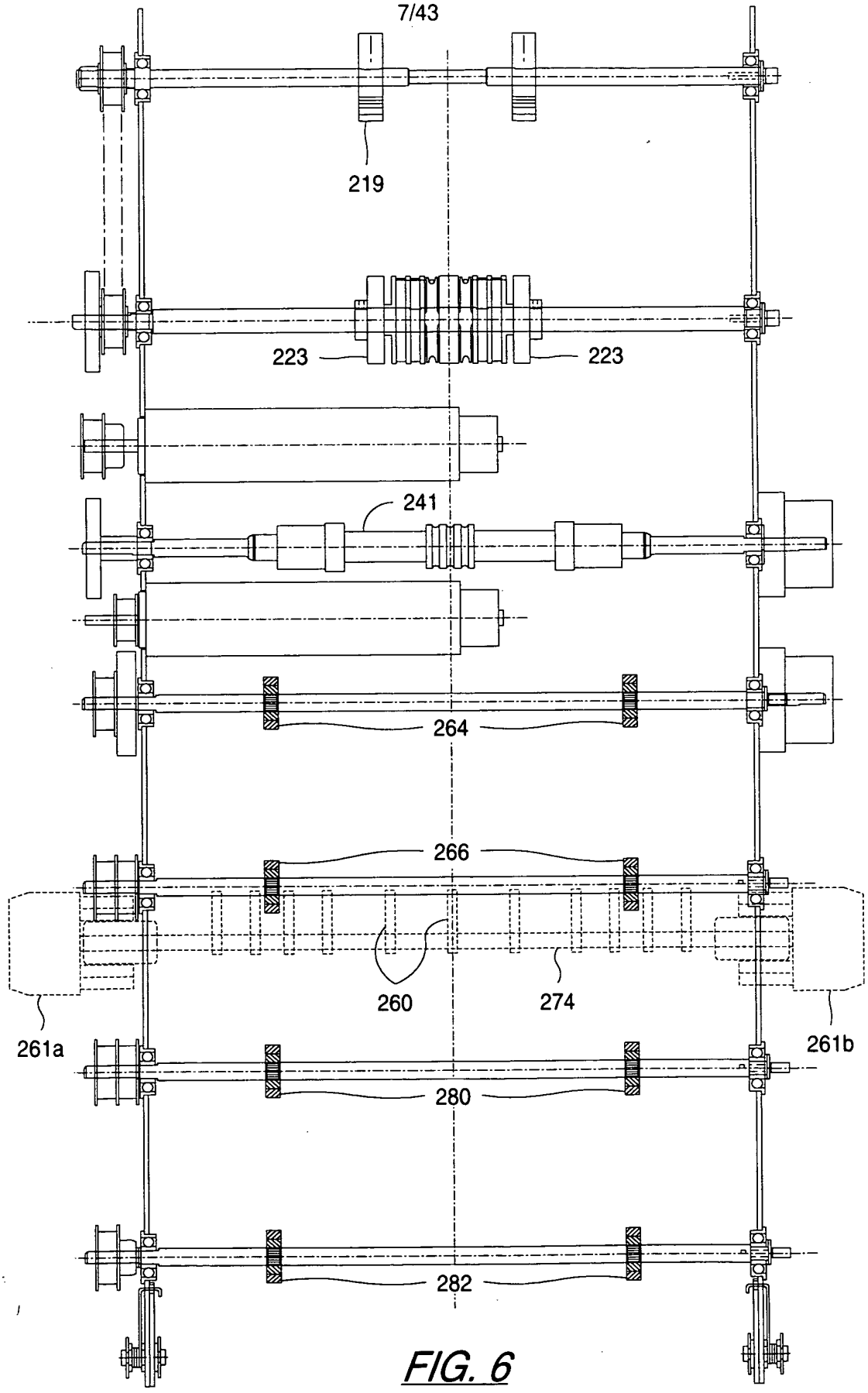


FIG. 6

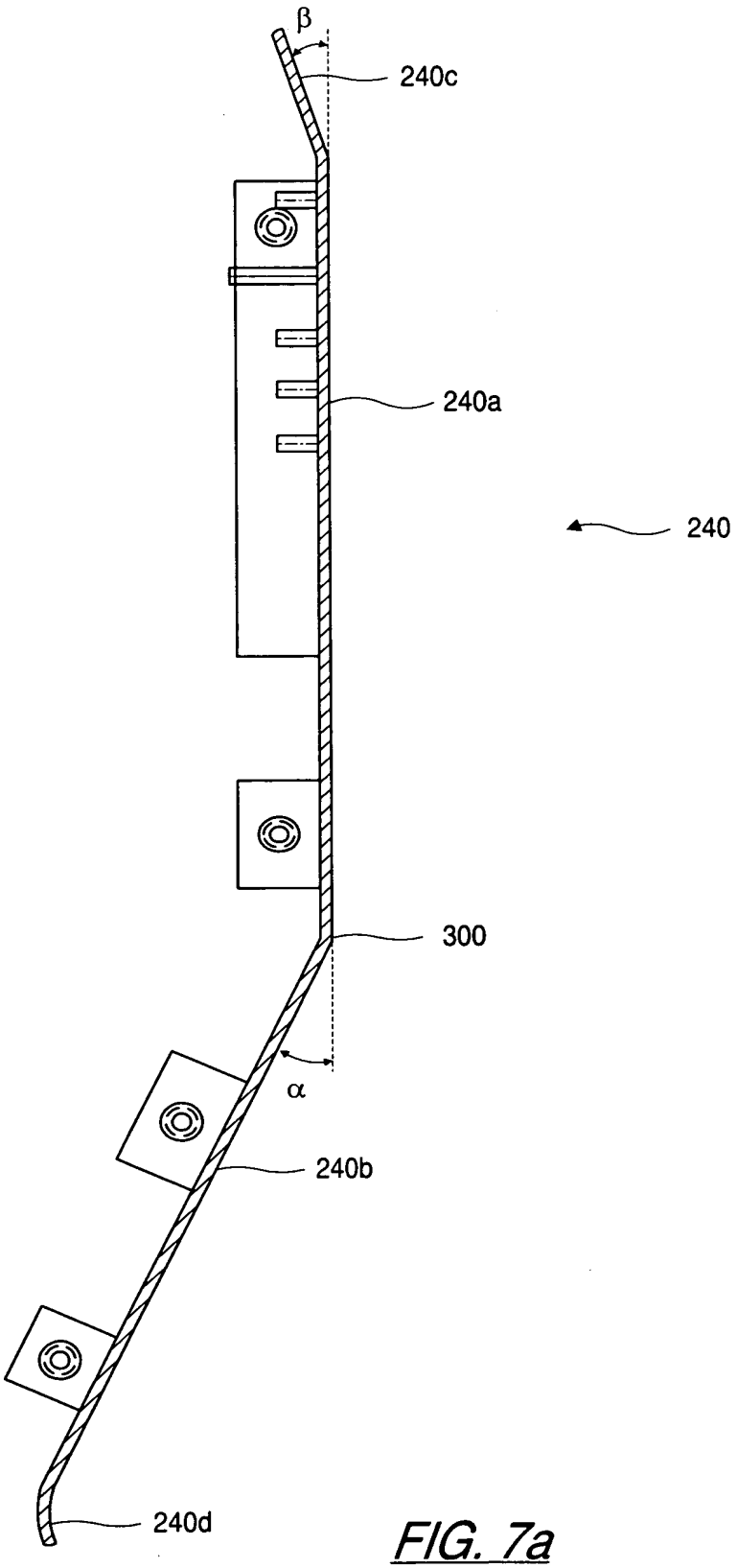


FIG. 7a

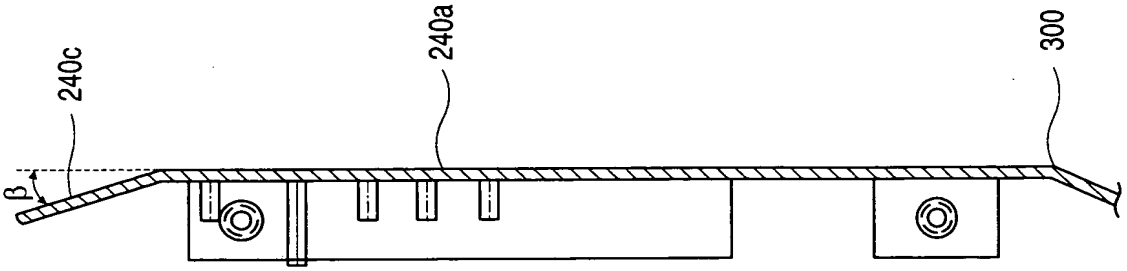


FIG. 7c

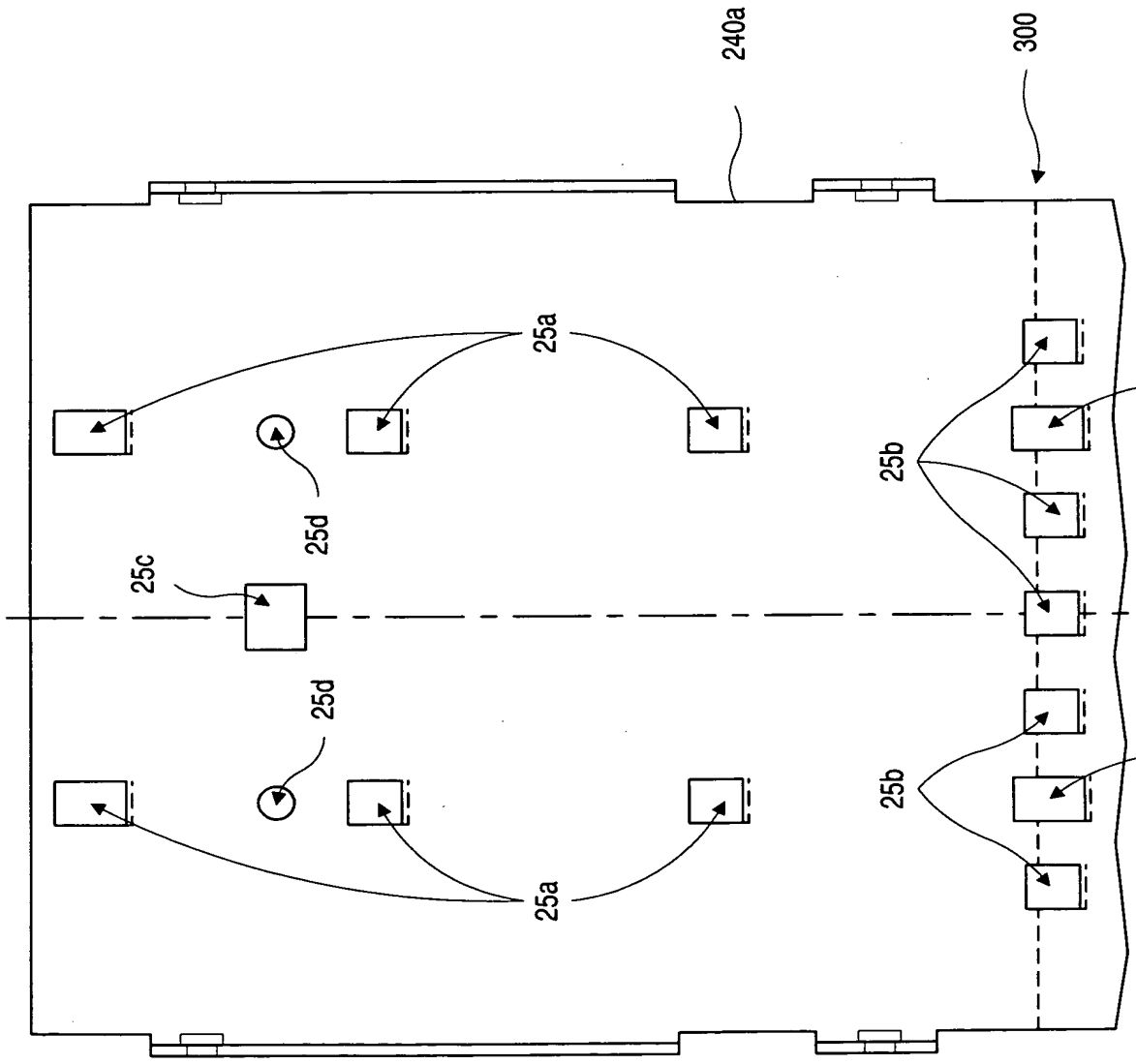


FIG. 7b

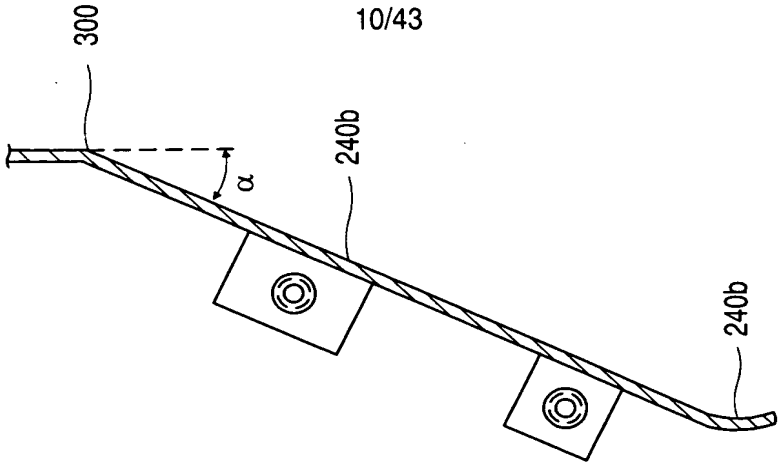


FIG. 7e

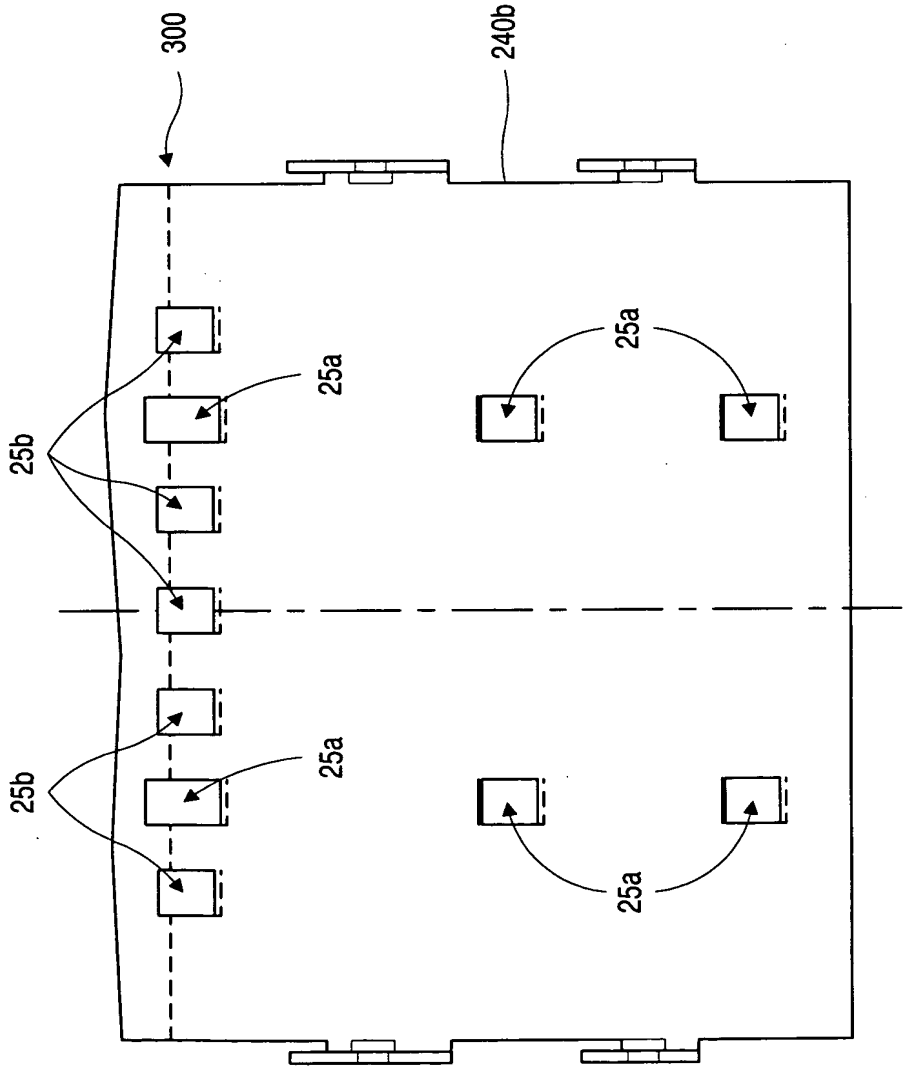


FIG. 7d

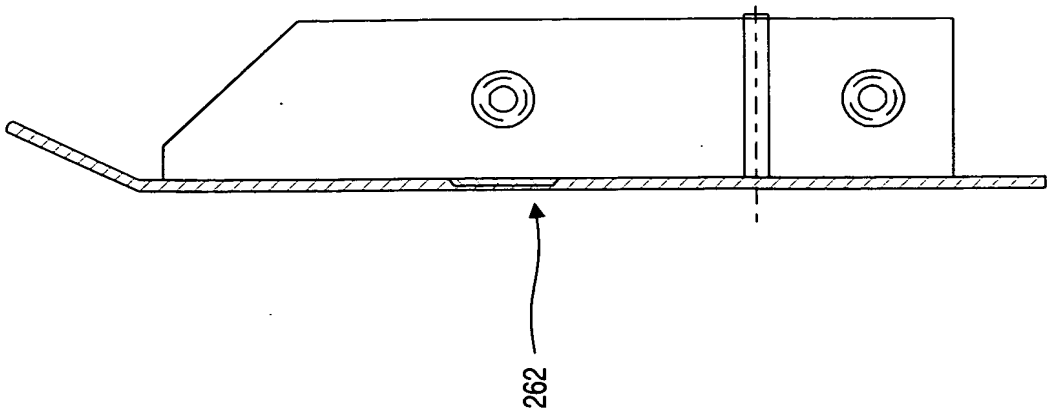


FIG. 8b

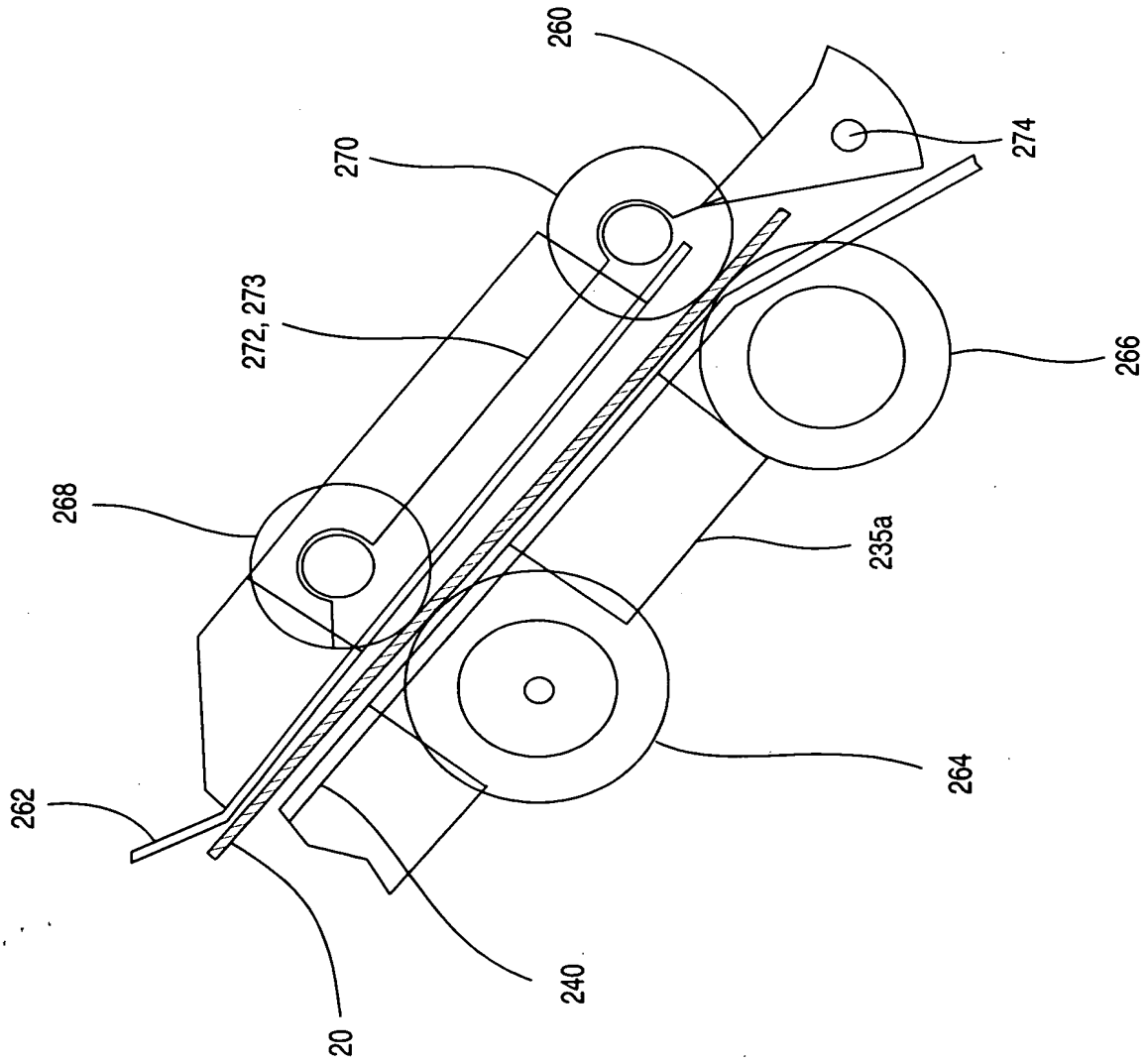


FIG. 8a

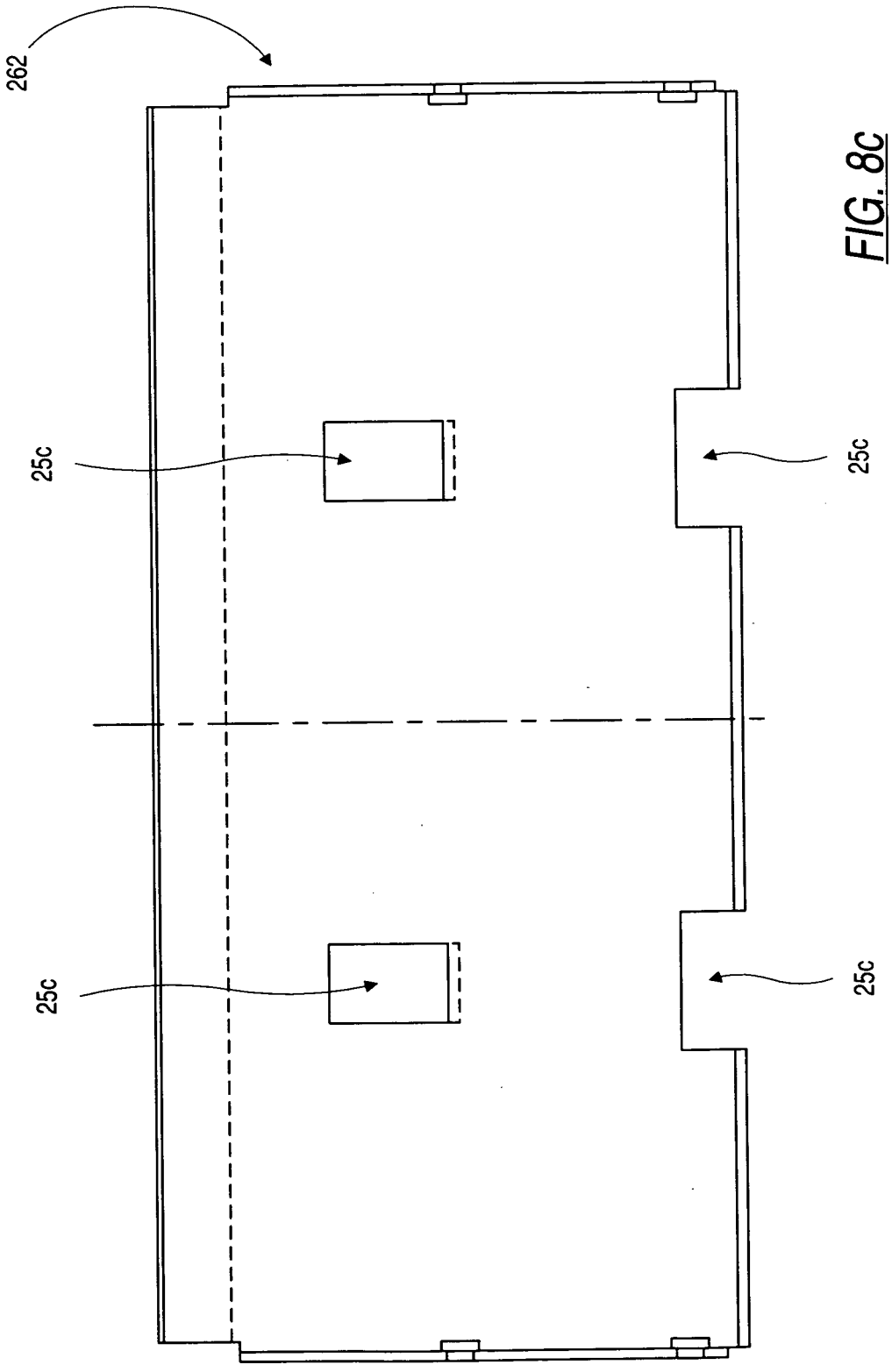


FIG. 8c

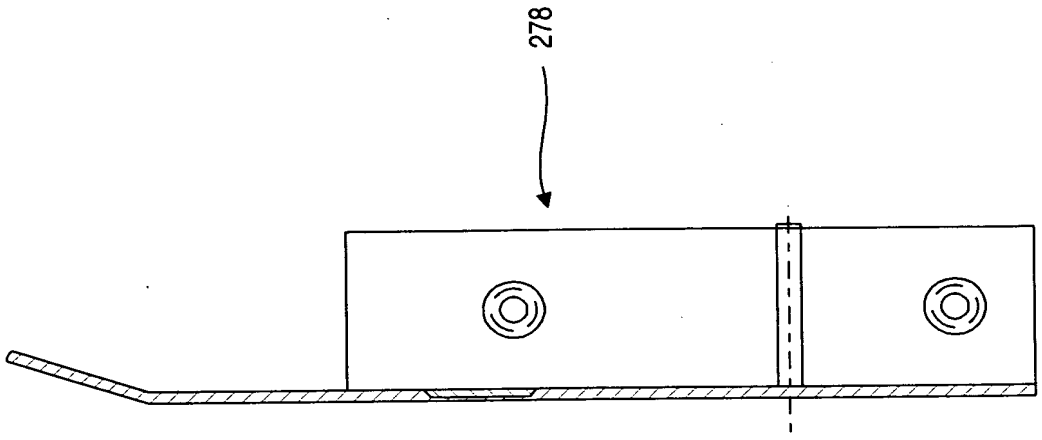


FIG. 9b

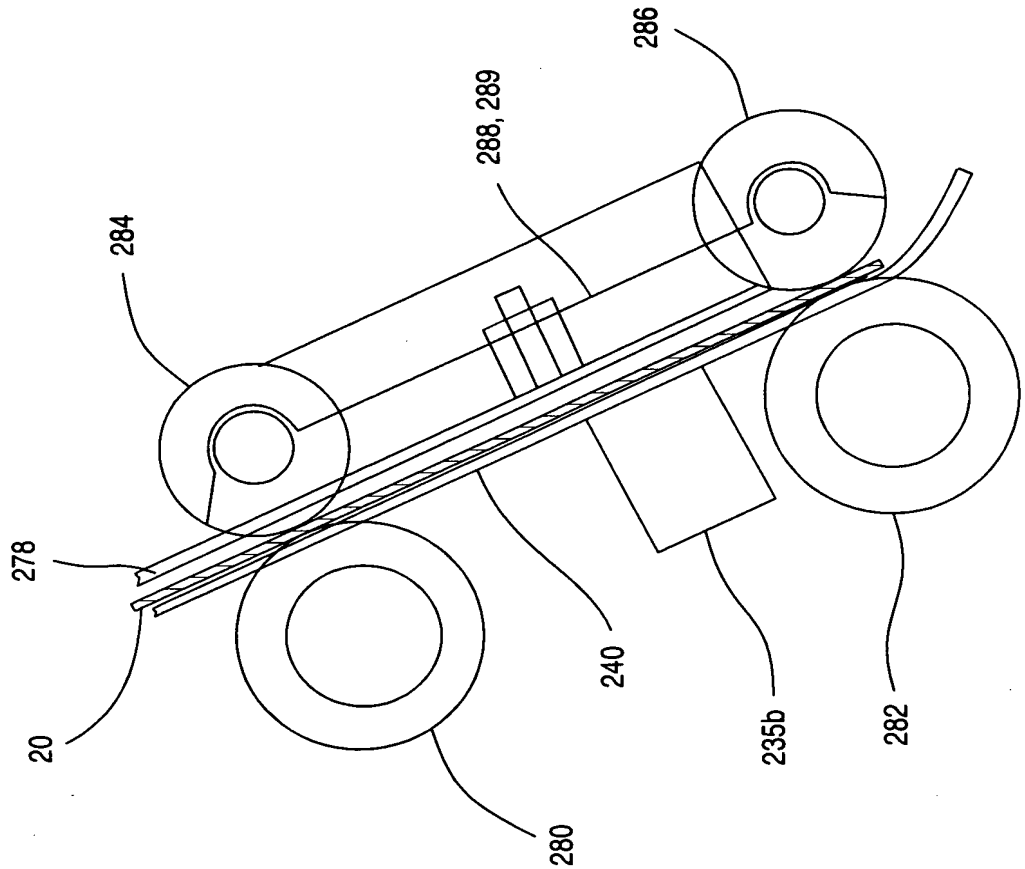


FIG. 9a

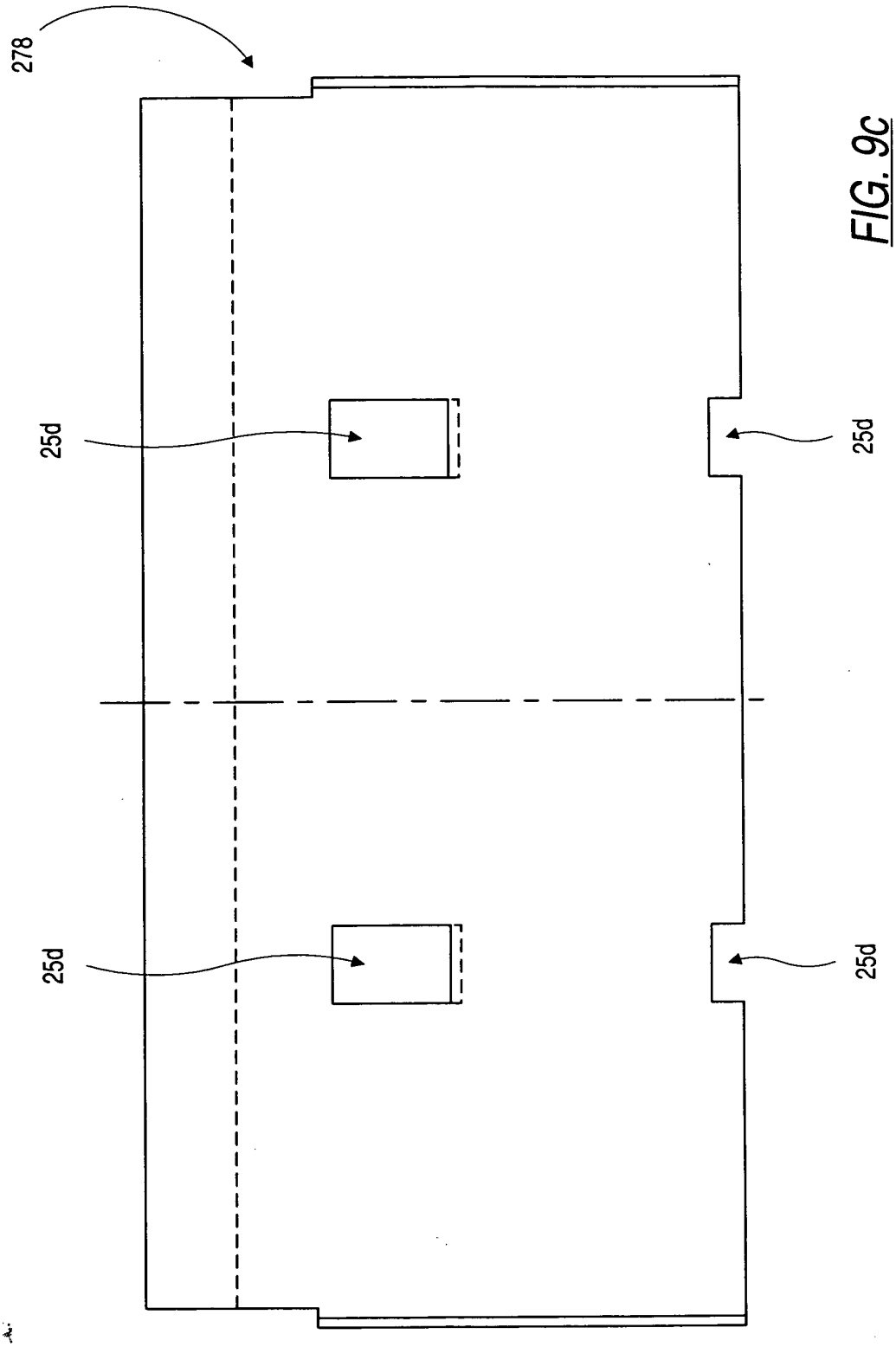
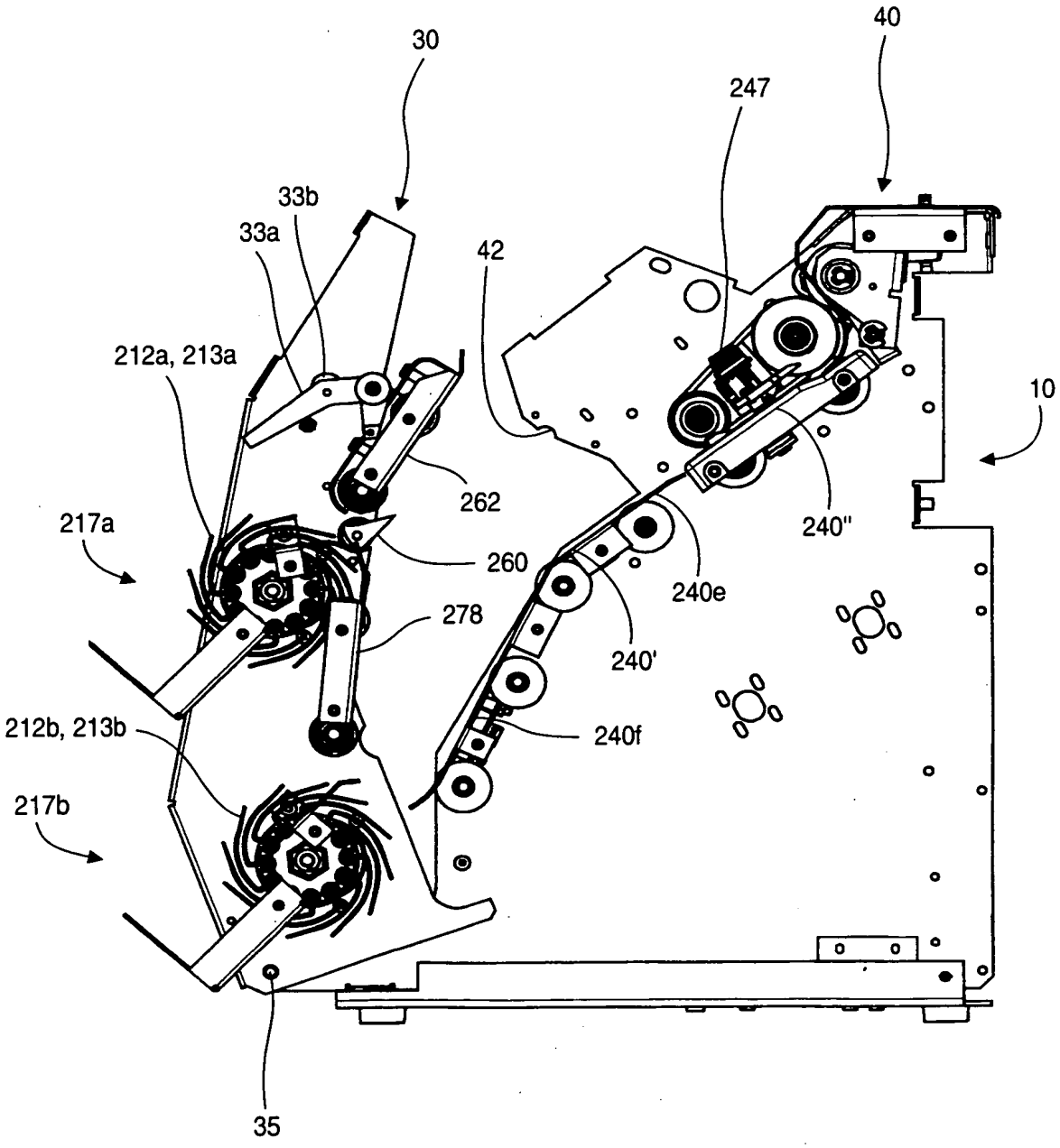


FIG. 9c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40
247
33b
33a
42
212a, 213a
10
262
217a
240"
260
240e
278
240'
212b, 213b
240f
217b
35

FIG. 10a

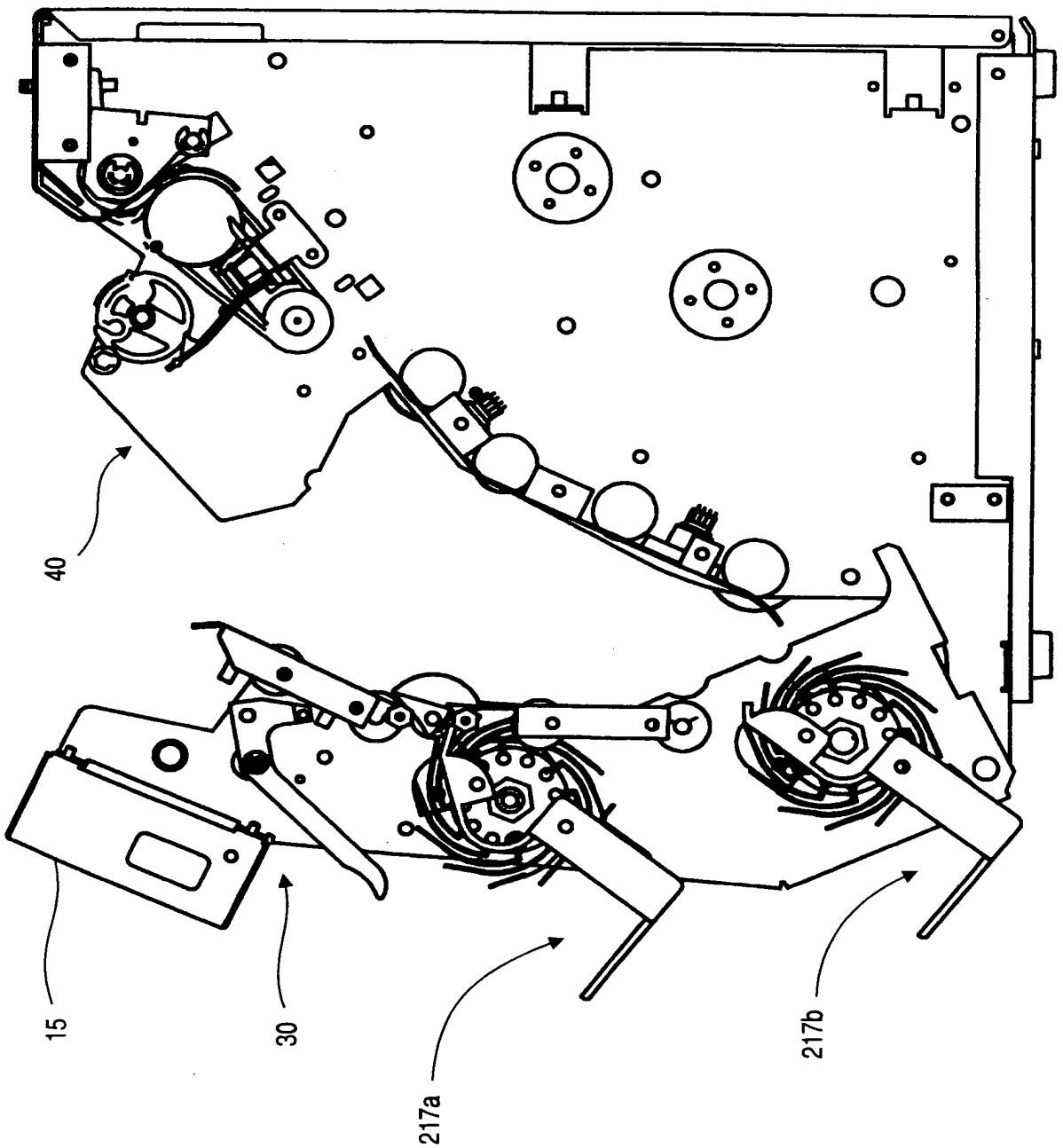


FIG. 10b

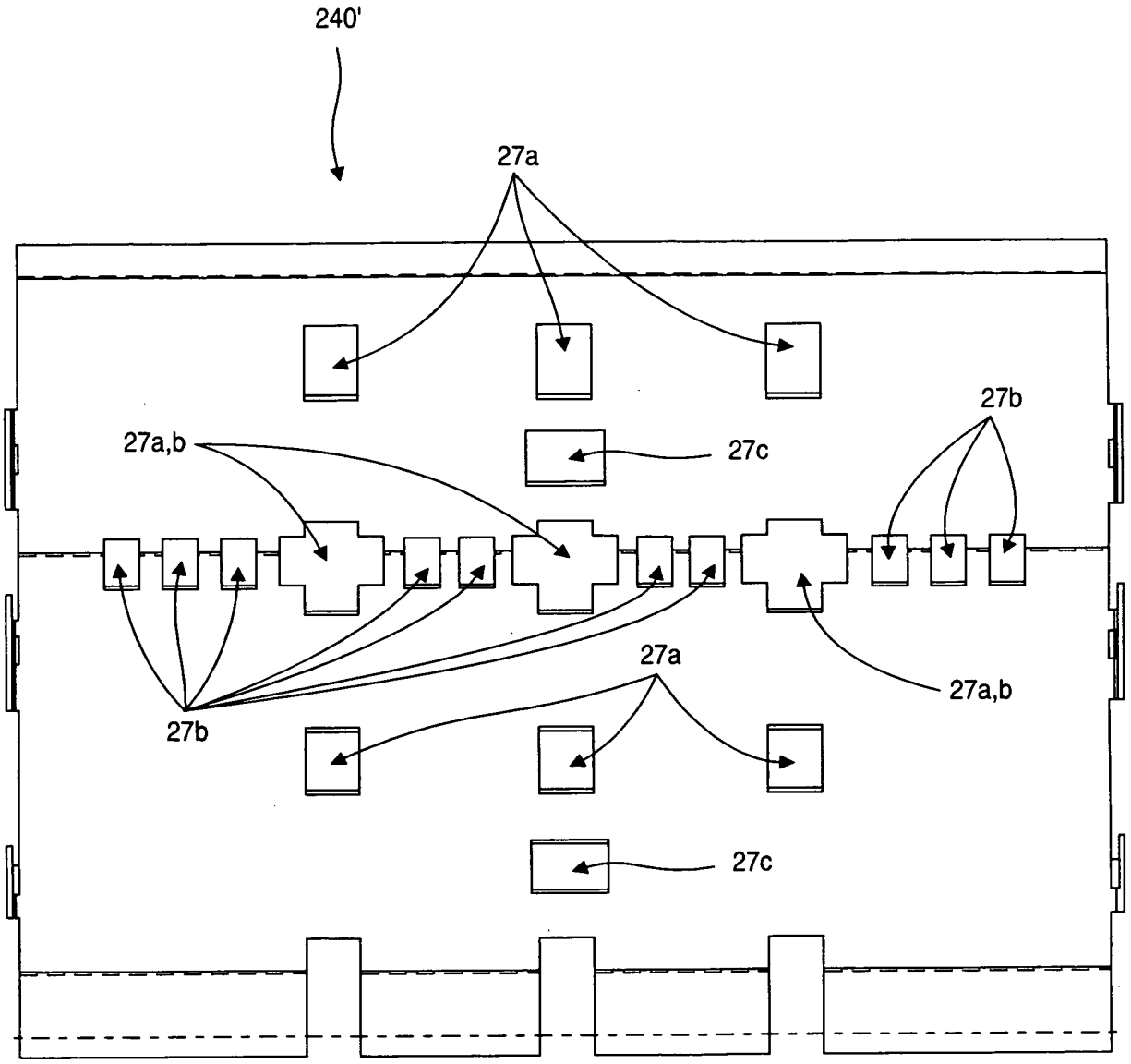


FIG. 11a

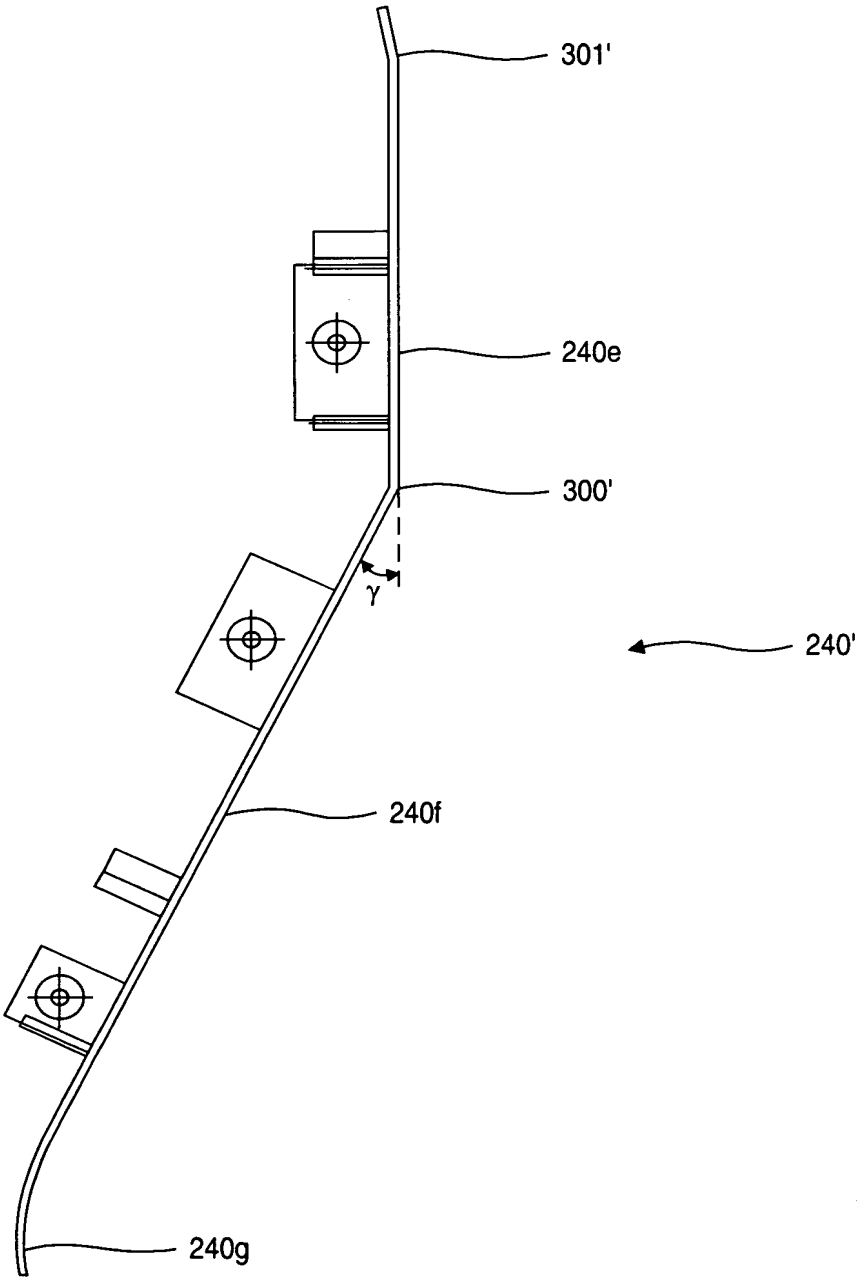
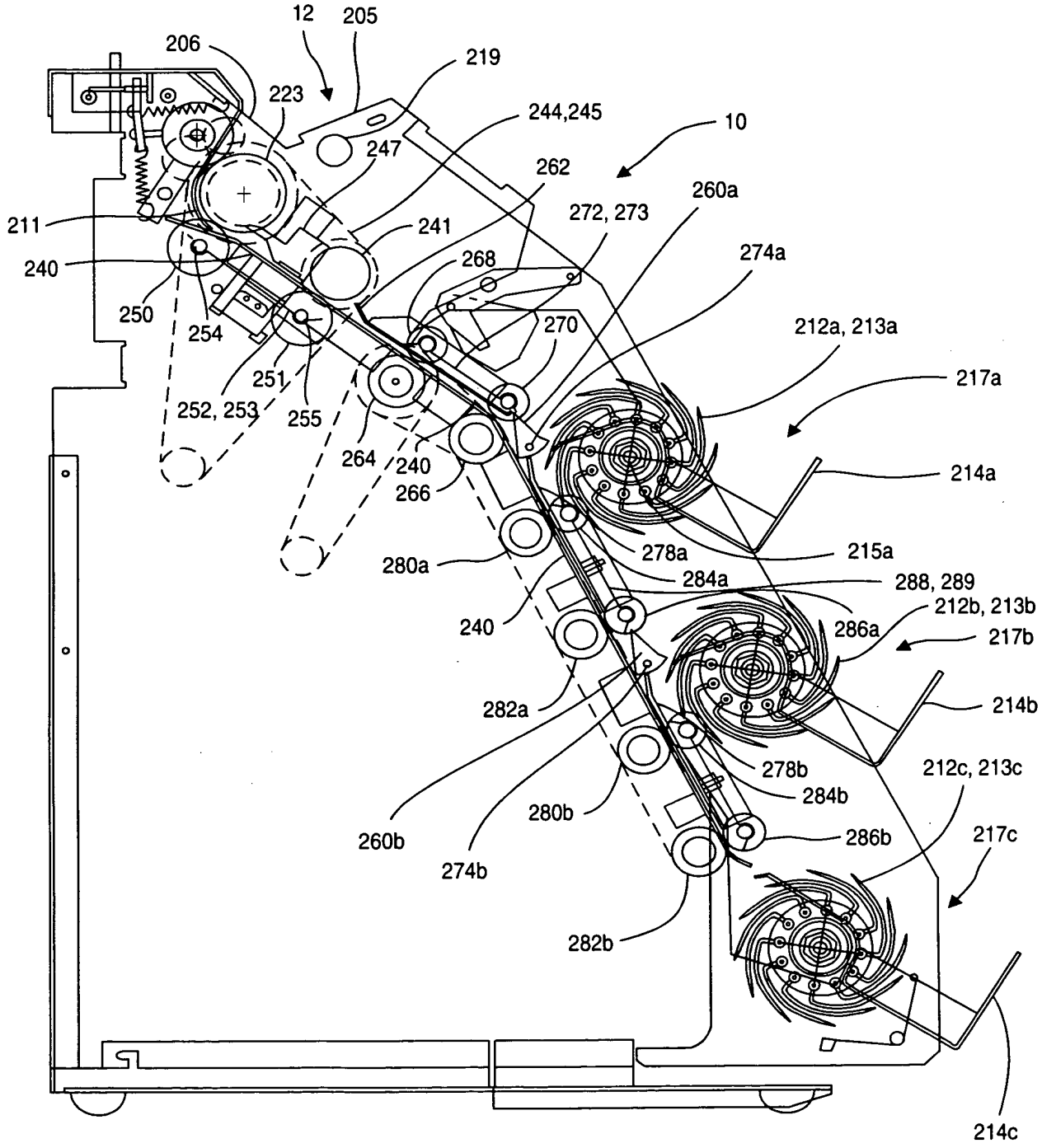


FIG. 11b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252, 253
255
264
240
214a
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278a
215a
280a
284a
288, 289
212b, 213b
240
286a
217b
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278b
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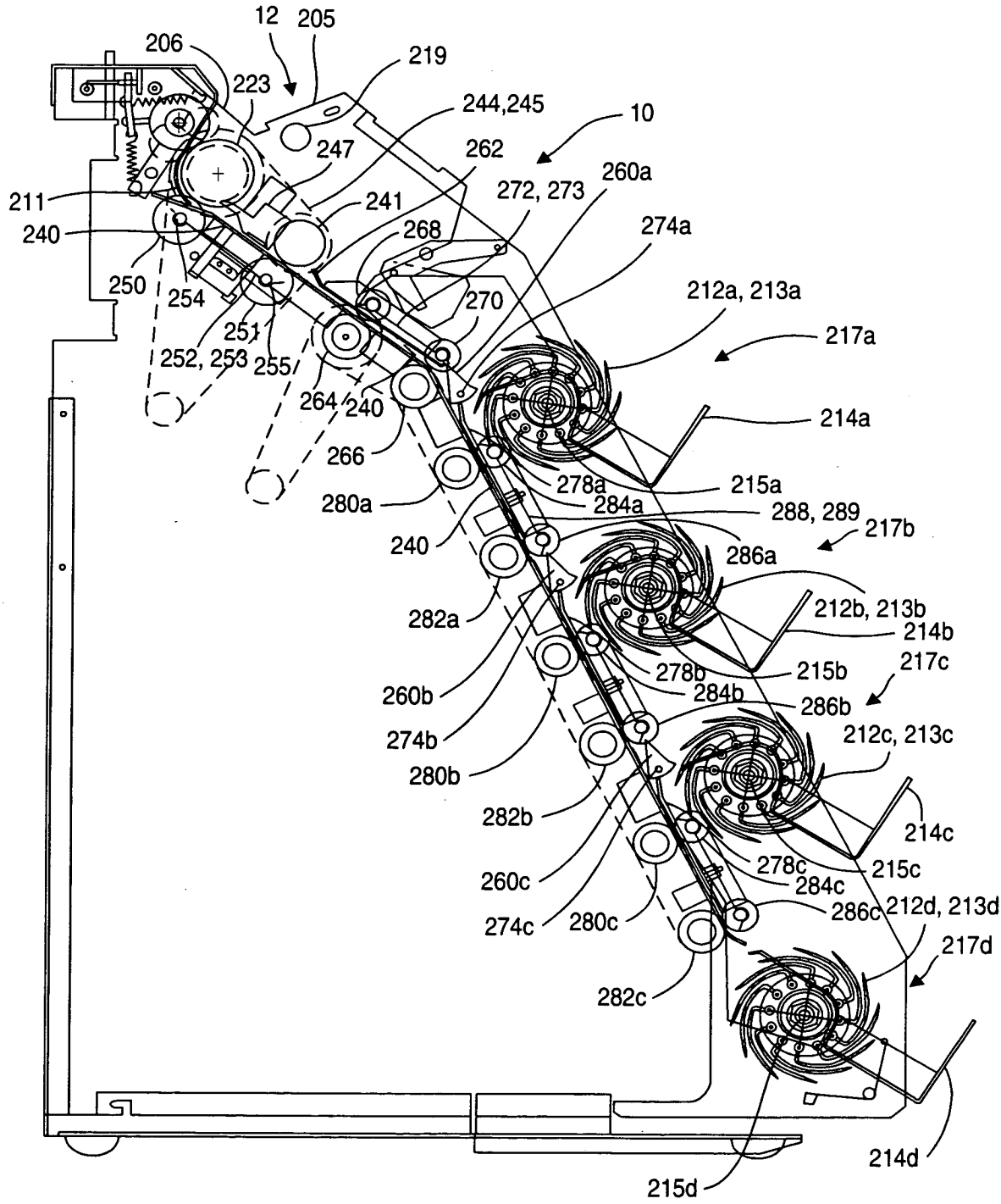
217c
274b
282b
214c

FIG. 12a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217b
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282a
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214b
260b
278b
215b
217c
284b
274b
286b
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282b
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286c
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217d
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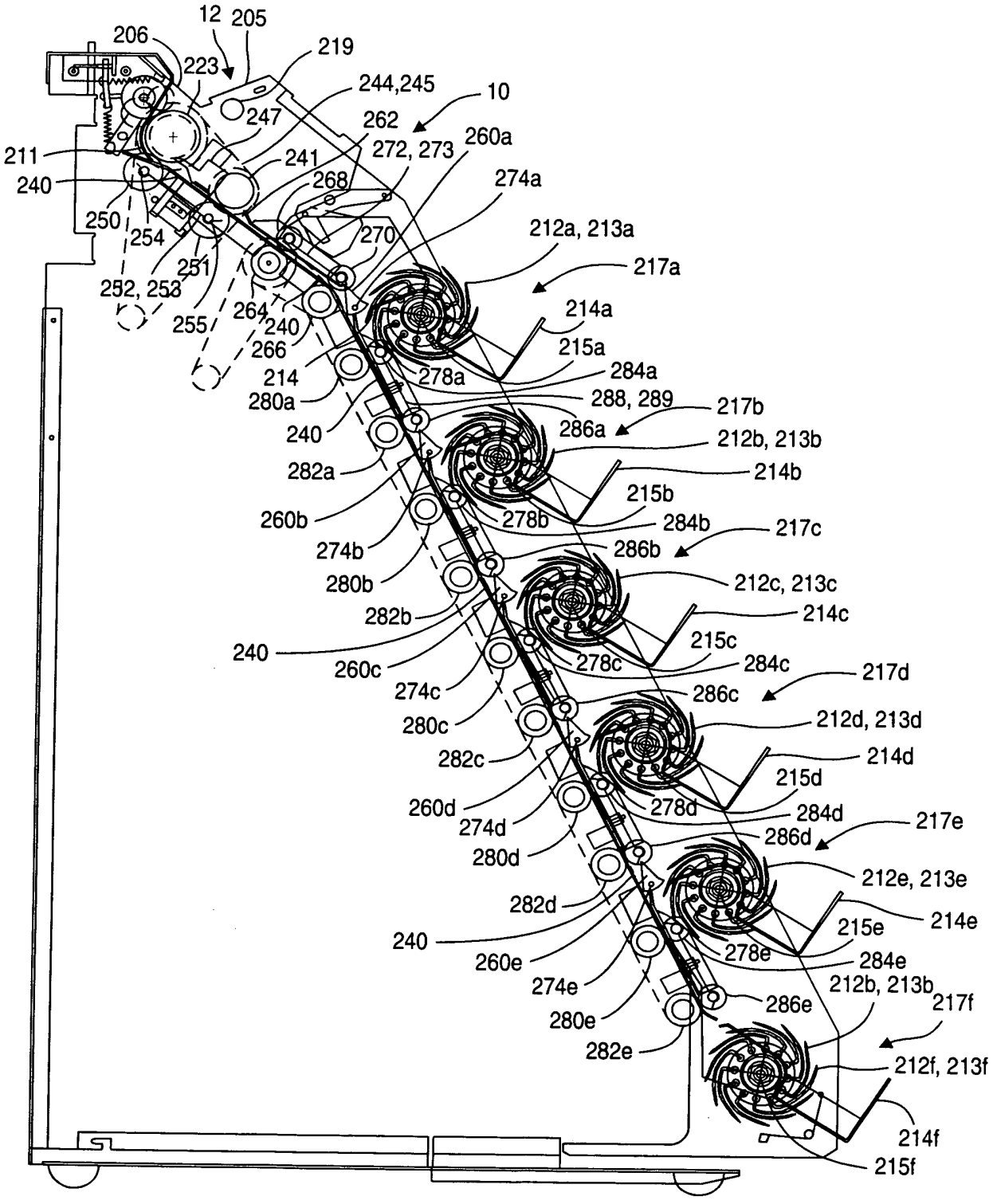
214d
215d

FIG. 12b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214a
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215a
214
278a
284a
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217b
240
286a
212b, 213b
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284b
217c
274b
286b
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282b
214c
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278c
284c
217d
274c
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215e
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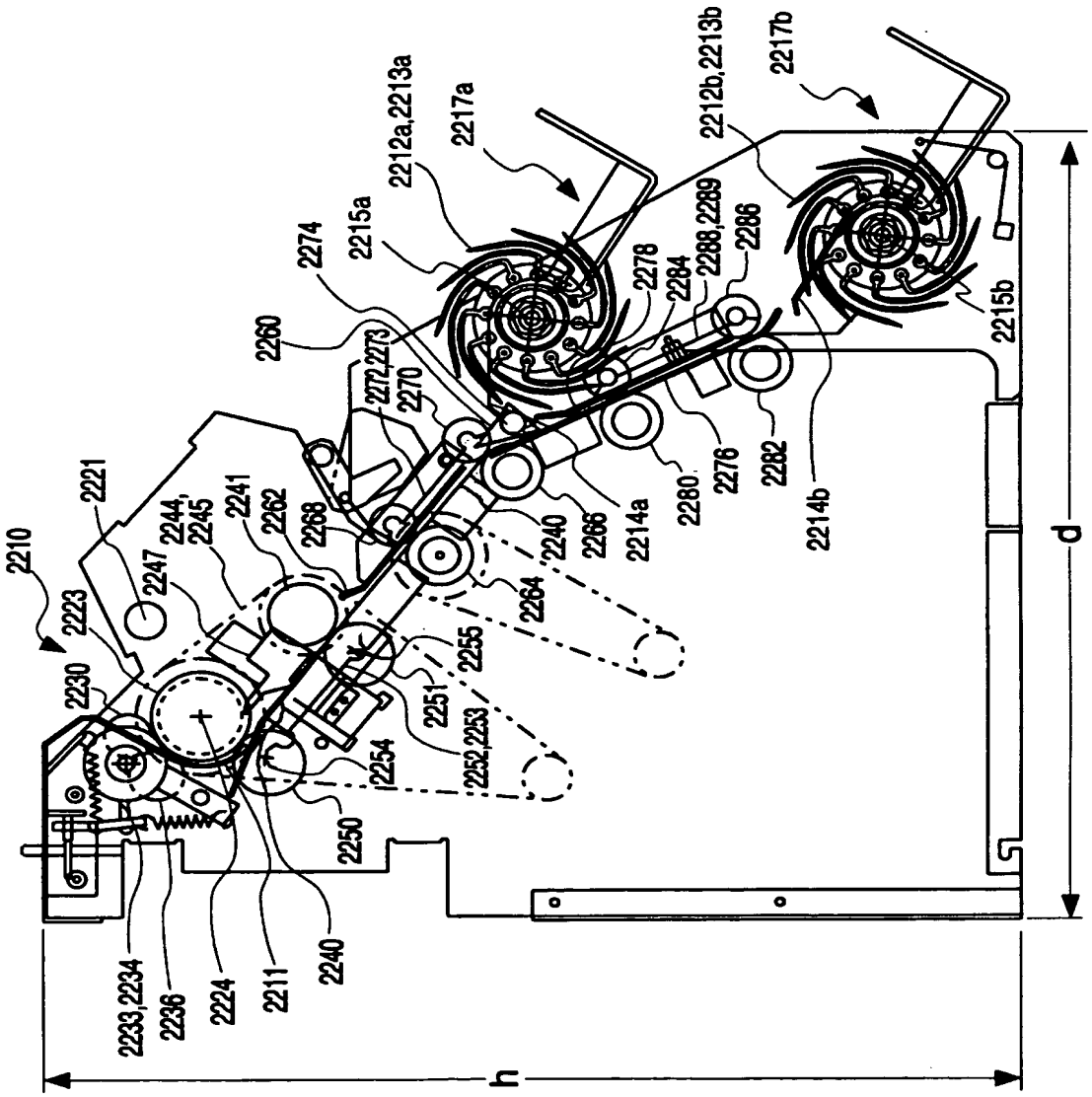
215f

FIG. 12c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2282
2214b
h
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FIG. 13

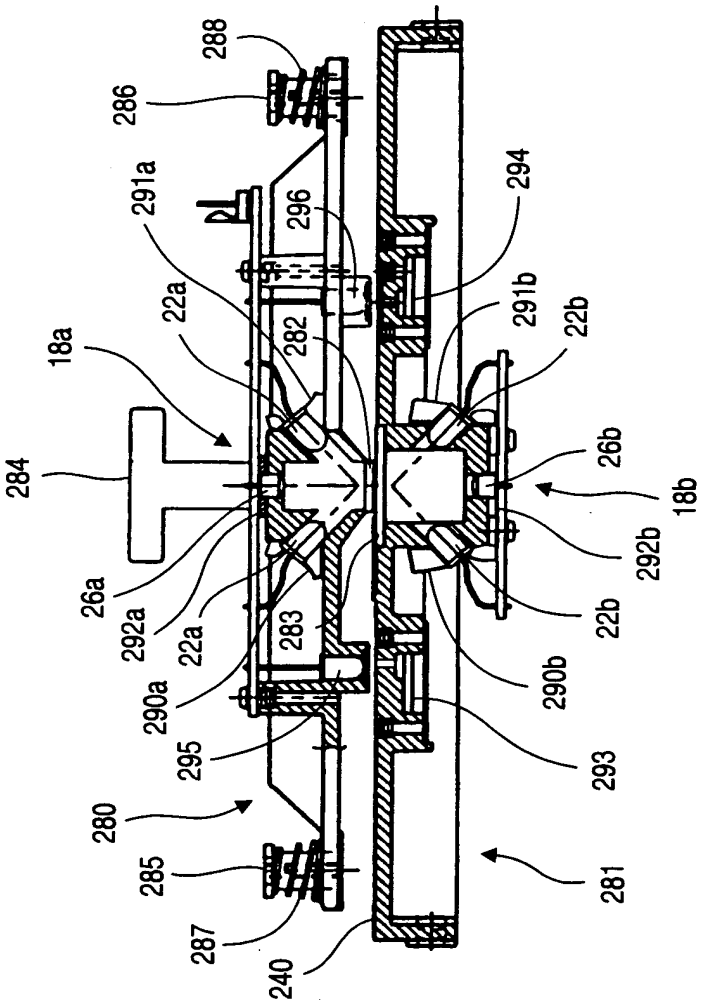


FIG. 14b

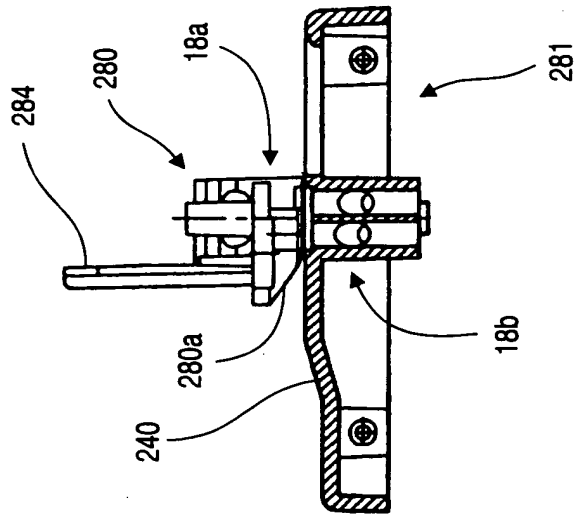


FIG. 14a

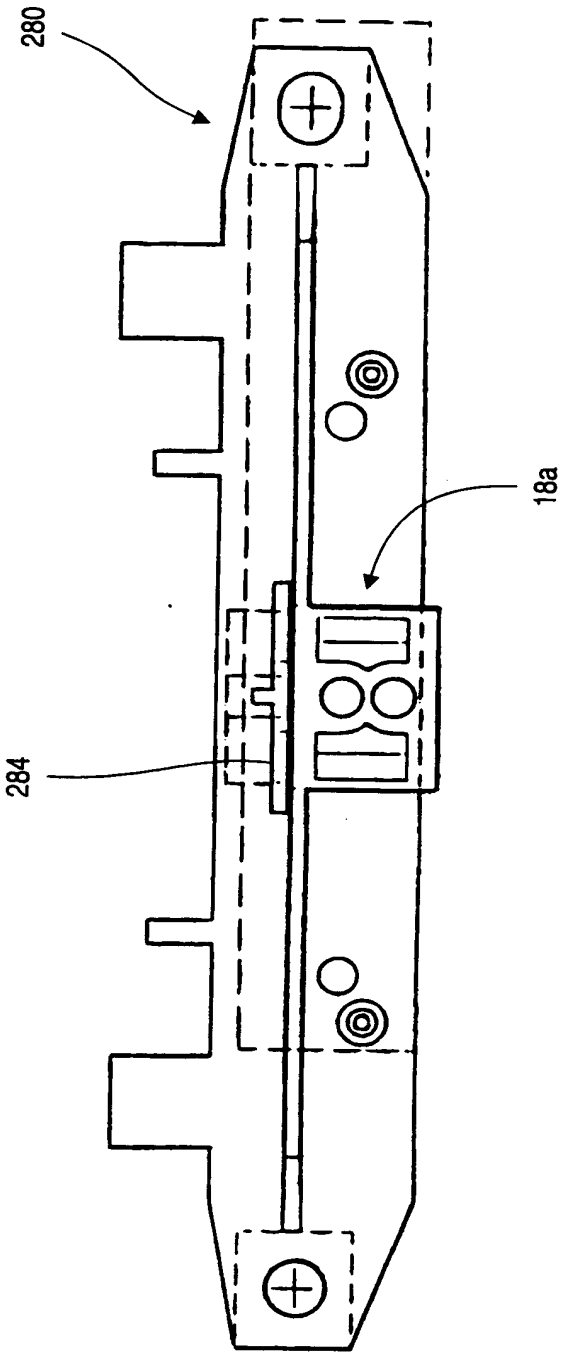


FIG. 15

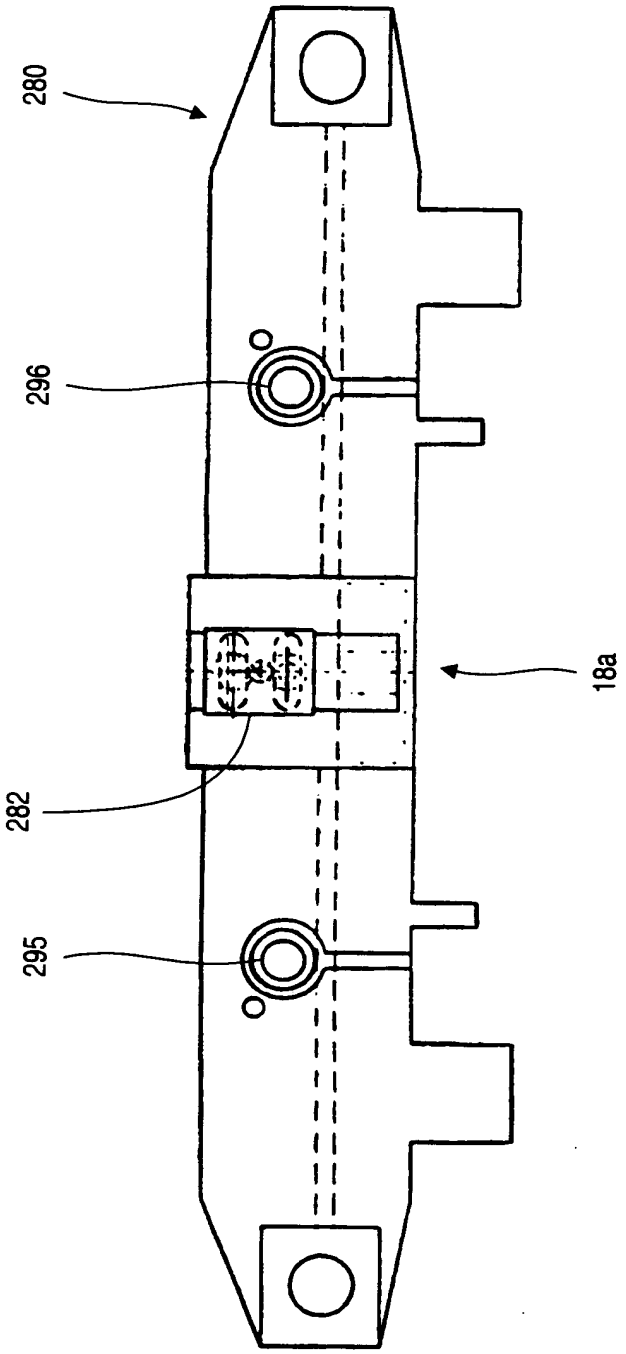


FIG. 16

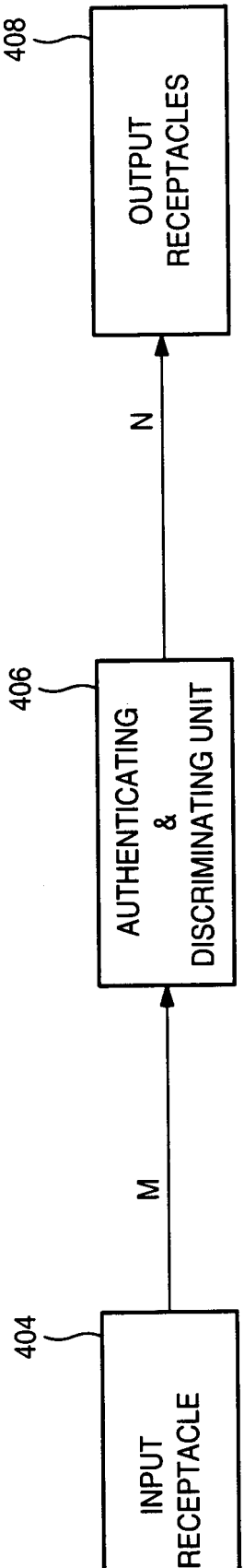

408
OUTPUT RECEPTACLES
N
406
AUTHENTICATING & DISCRIMINATING UNIT
M
404
INPUT RECEPTACLE

FIG. 17

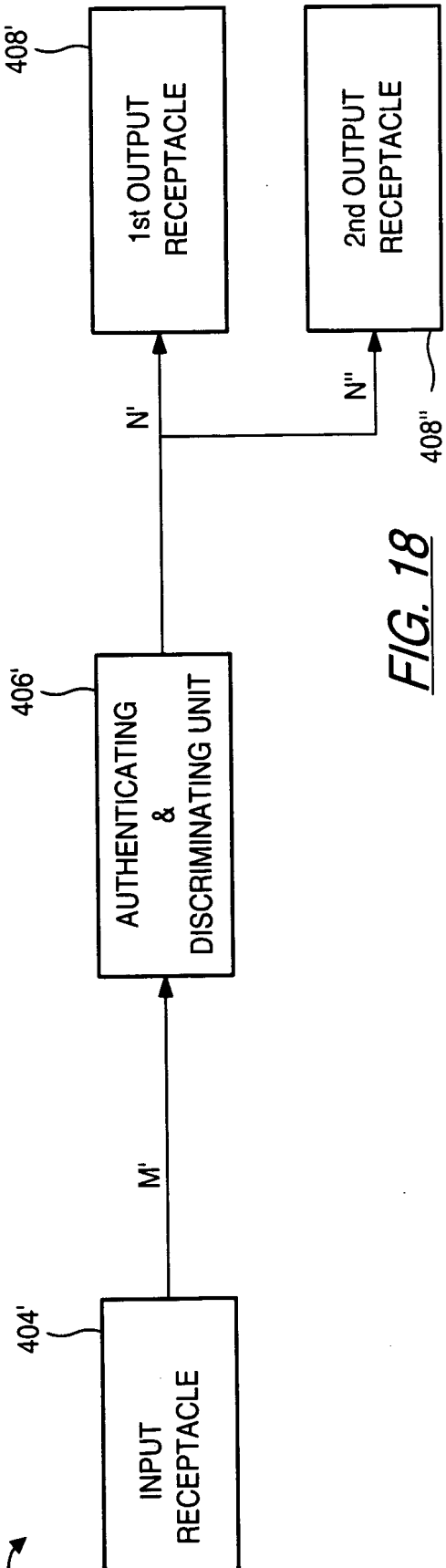
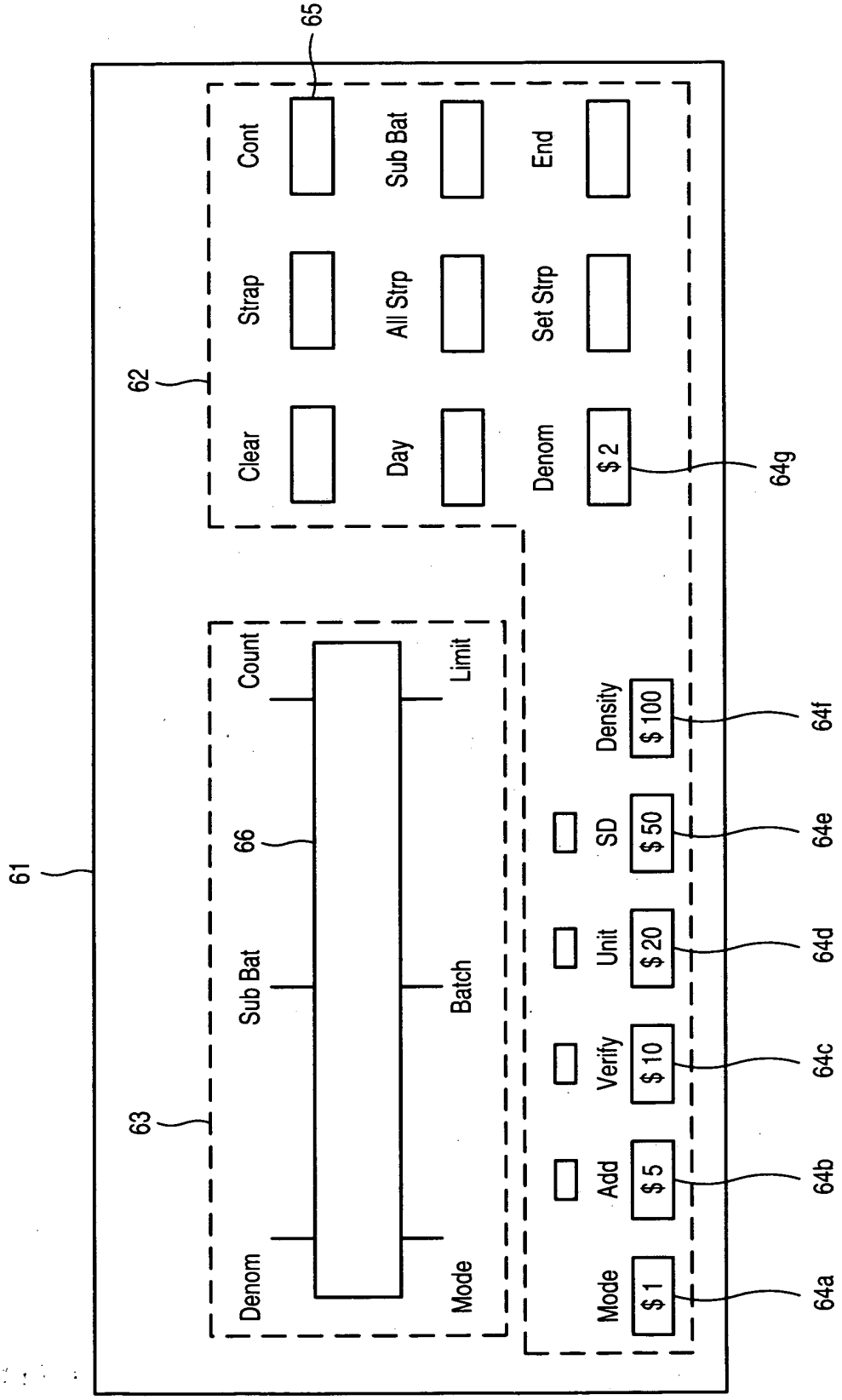

408'
1st OUTPUT RECEPTACLE
2nd OUTPUT RECEPTACLE
N'
N"
408"
406'
AUTHENTICATING & DISCRIMINATING UNIT
M'
404'
INPUT RECEPTACLE

FIG. 18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62
63
65
66
Clear
Strap
Cont
Day
All Strp
Sub Bat
Denom
Set Strp
End
$ 2
64g
Count
Limit
Sub Bat
Batch
Denom
Mode
Density
SD
Unit
Verify
Add
Mode
$ 100
$ 50
$ 20
$ 10
$ 5
$ 1
64f
64e
64d
64c
64b
64a

FIG. 19

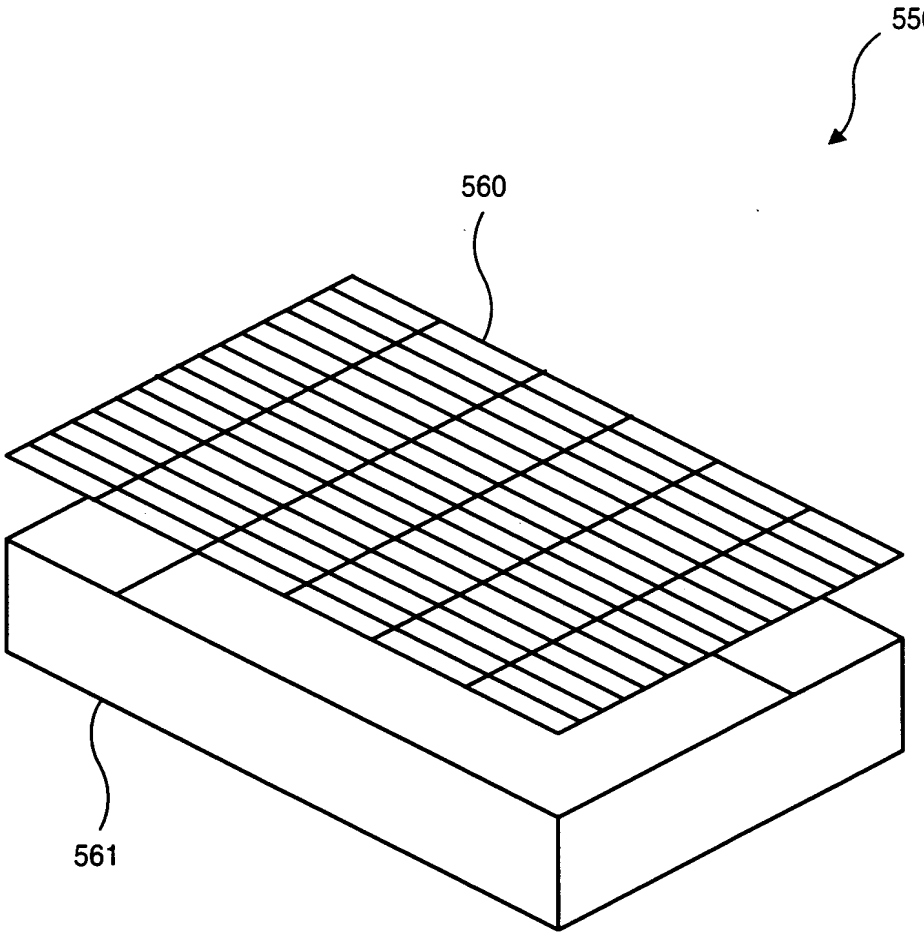


FIG. 20

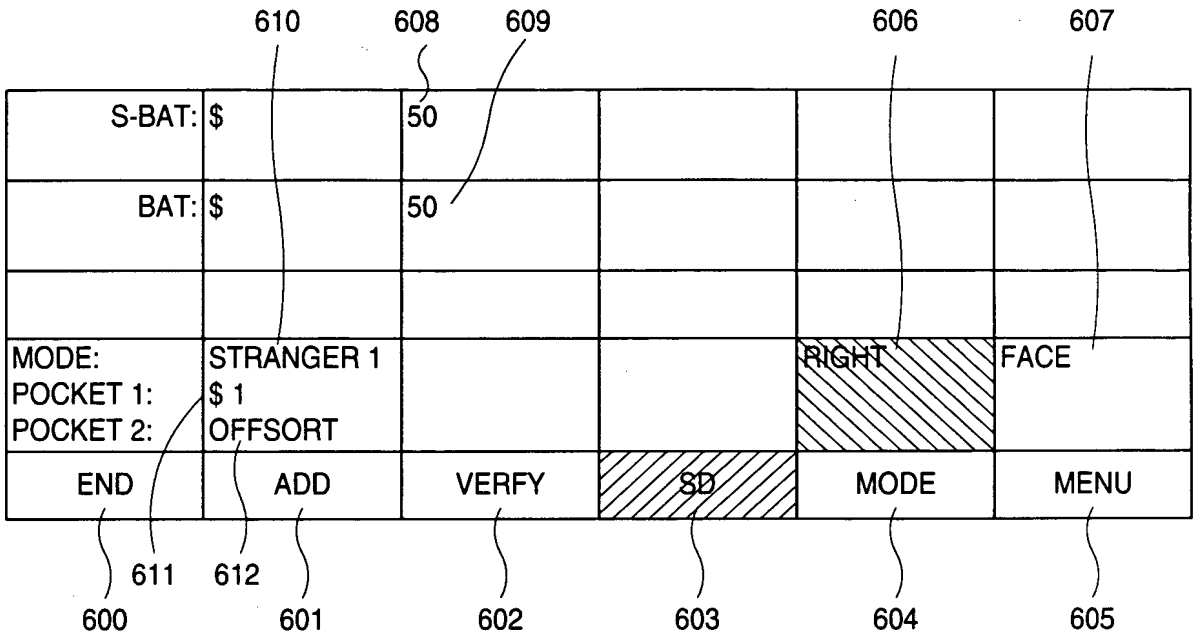
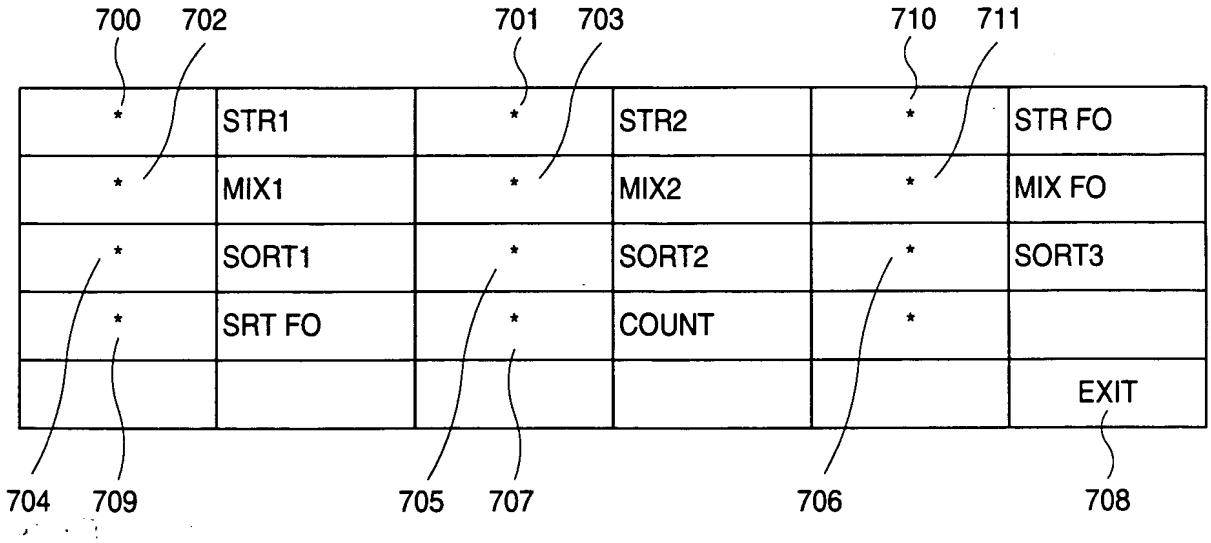

610
608
609
606
607
S-BAT: $
50
BAT: $
50
MODE:
POCKET 1:
POCKET 2:
STRANGER 1
$ 1
OFFSORT
RIGHT
FACE
END
ADD
VERFY
SD
MODE
MENU
611
612
600
601
602
603
604
605

FIG. 21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702
701
703
710
711
*
STR1
*
STR2
*
STR FO
*
MIX1
*
MIX2
*
MIX FO
*
SORT1
*
SORT2
*
SORT3
*
SRT FO
*
COUNT
*
EXIT
704
709
705
707
706
708

FIG. 22

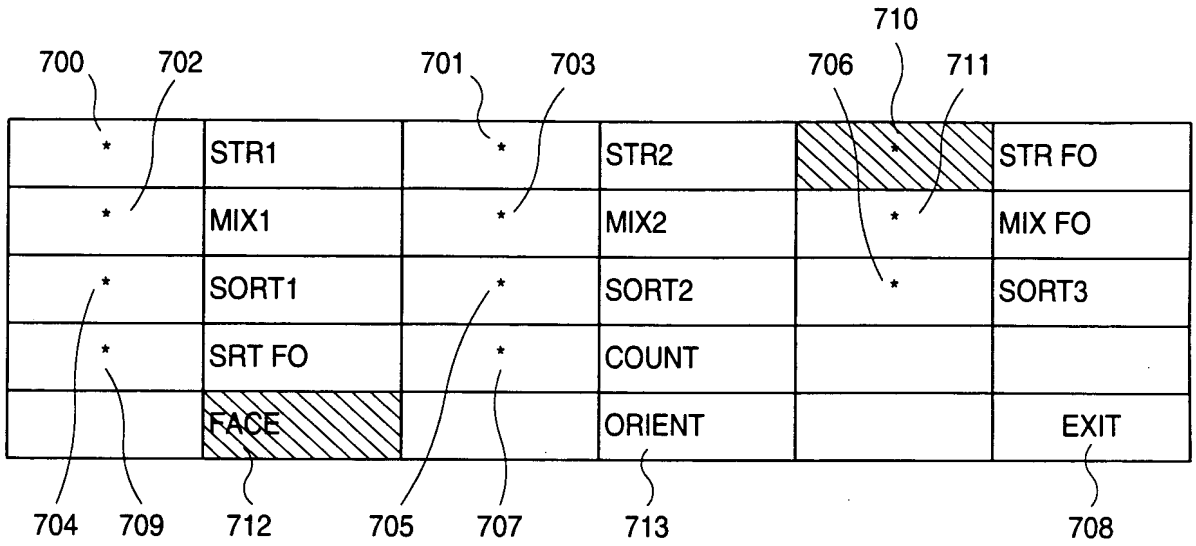
700
702
701
703
710
706
711
STR1
STR2
STR FO
MIX1
MIX2
MIX FO
SORT1
SORT2
SORT3
SRT FO
COUNT
FACE
ORIENT
EXIT
704
709
712
705
707
713
708

FIG. 23

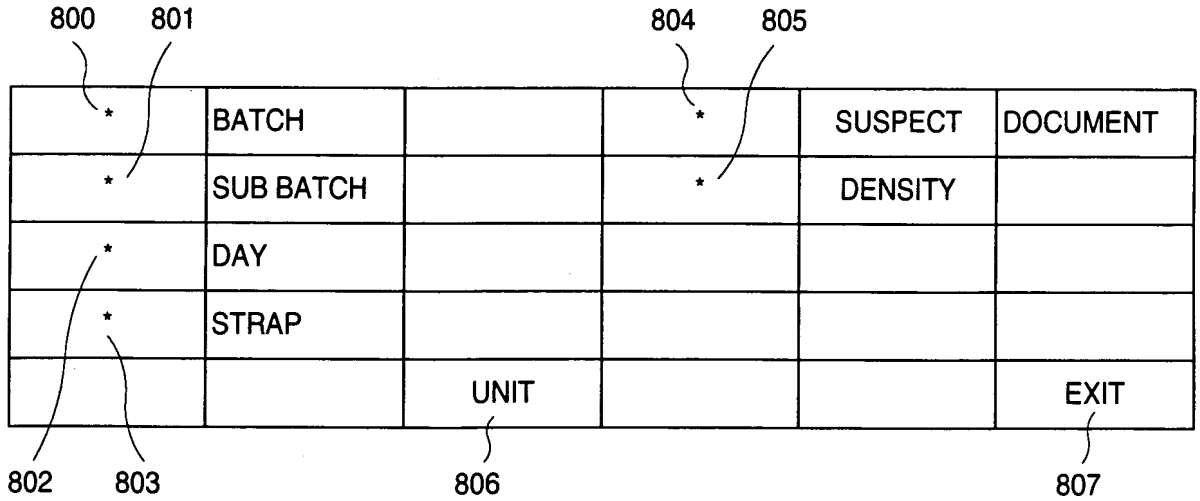
800
801
804
805
BATCH
SUSPECT
DOCUMENT
SUB BATCH
DENSITY
DAY
STRAP
UNIT
EXIT
802
803
806
807

FIG. 24

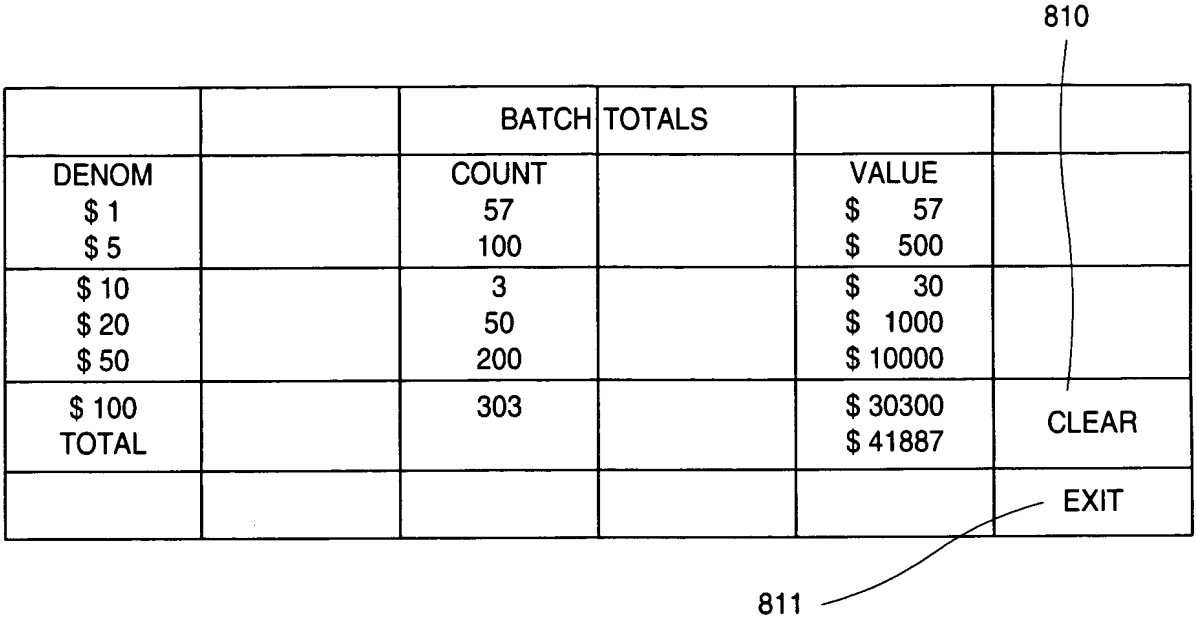
810
BATCH TOTALS
DENOM
$ 1
$ 5
$ 10
$ 20
$ 50
$ 100
TOTAL
COUNT
57
100
3
50
200
303
VALUE
$ 57
$ 500
$ 30
$ 1000
$ 10000
$ 30300
$ 41887
CLEAR
EXIT
811

FIG. 25

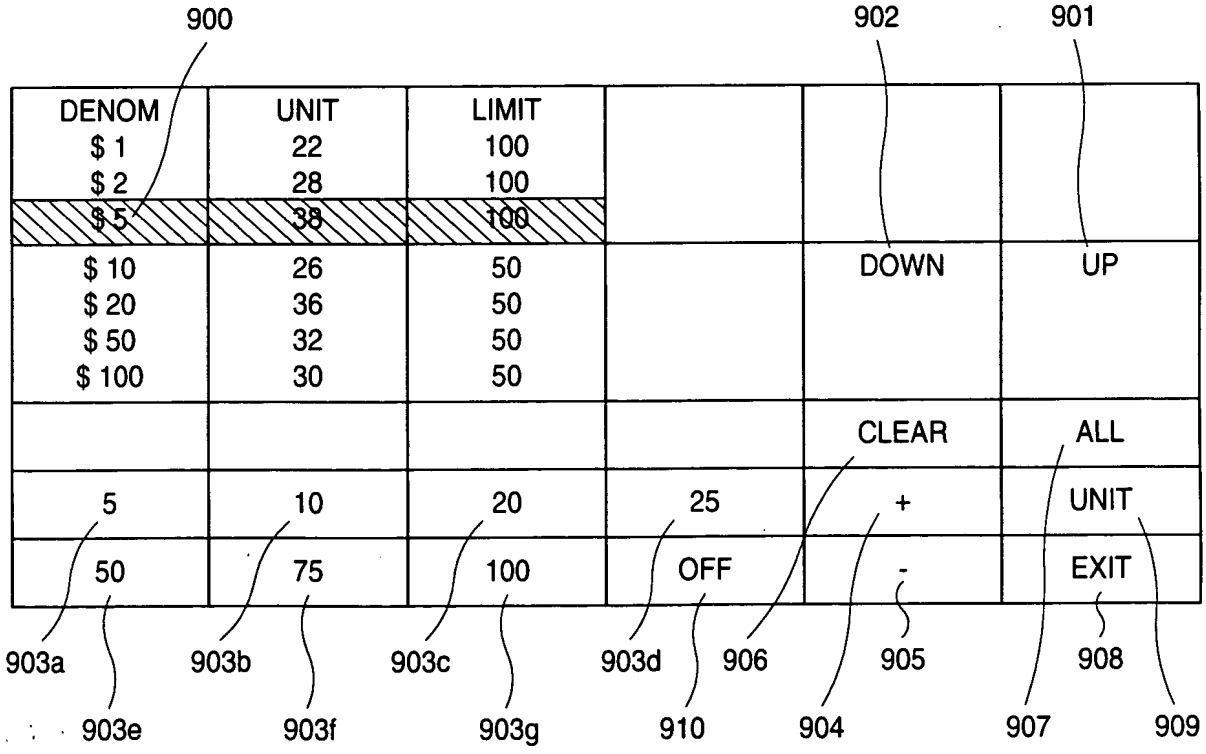
900
902
901
DENOM
$ 1
$ 2
$ 5
$ 10
$ 20
$ 50
$ 100
UNIT
22
28
38
26
36
32
30
LIMIT
100
100
100
50
50
50
50
DOWN
UP
CLEAR
ALL
5
10
20
25
+
UNIT
50
75
100
OFF
-
EXIT
903a
903b
903c
903d
906
905
908
903e
903f
903g
910
904
907
909

FIG. 26

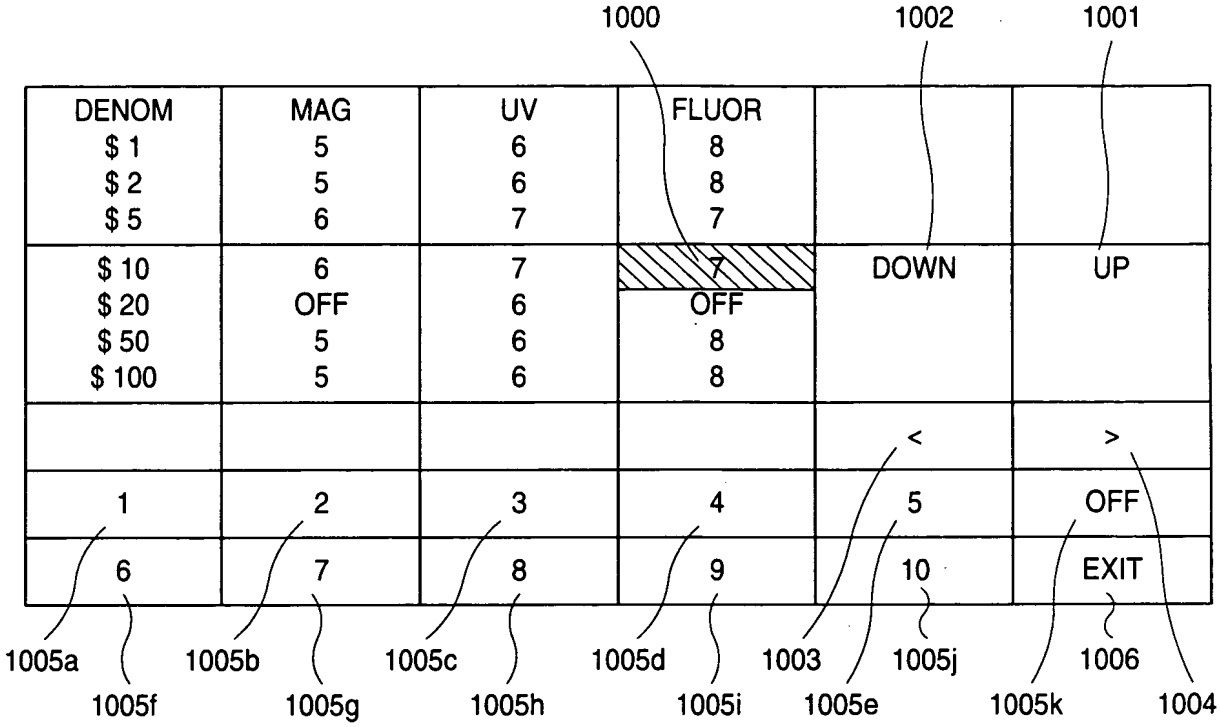
1000
1002
1001
DENOM
$ 1
$ 2
$ 5
$ 10
$ 20
$ 50
$ 100
MAG
5
5
6
6
OFF
5
5
UV
6
6
7
7
6
6
6
FLUOR
8
8
7
7
OFF
8
8
DOWN
UP
<
>
1
2
3
4
5
OFF
6
7
8
9
10
EXIT
1005a
1005b
1005c
1005d
1003
1005j
1006
1005f
1005g
1005h
1005i
1005e
1005k
1004

FIG. 27

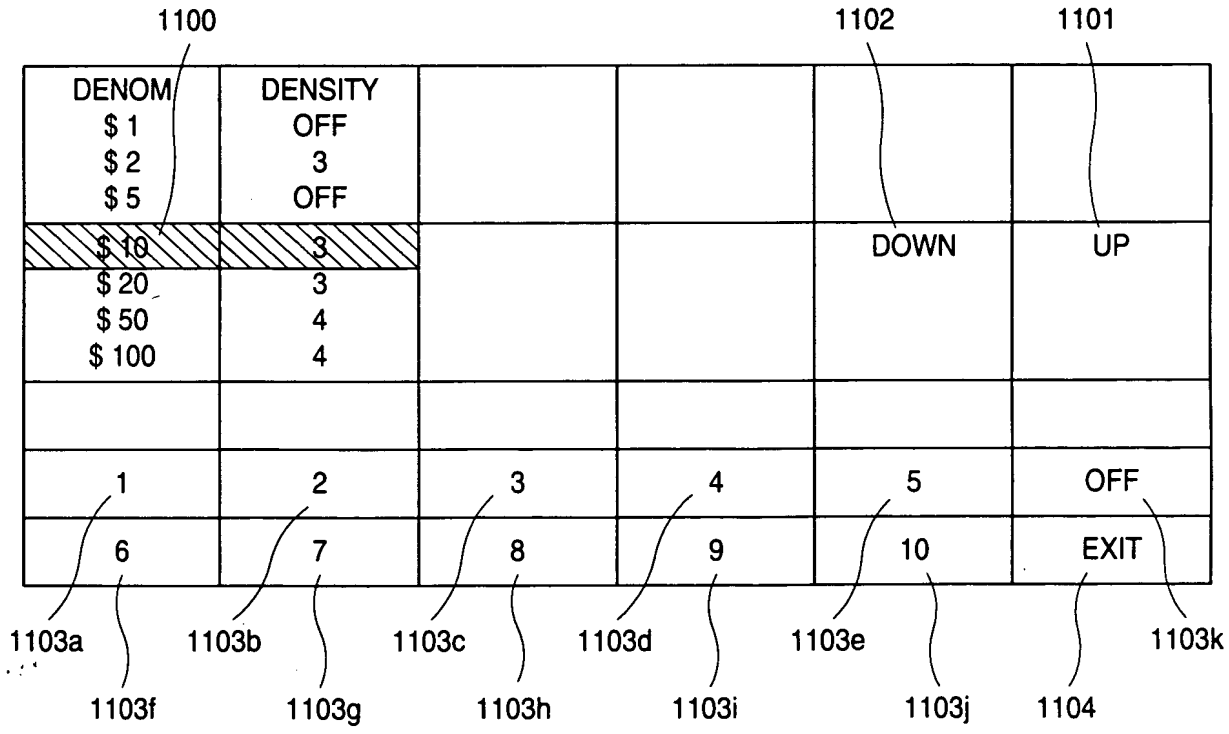
1100
1102
1101
DENOM
$ 1
$ 2
$ 5
$ 10
$ 20
$ 50
$ 100
DENSITY
OFF
3
OFF
3
3
4
4
DOWN
UP
1
2
3
4
5
OFF
6
7
8
9
10
EXIT
1103a
1103b
1103c
1103d
1103e
1103k
1103f
1103g
1103h
1103i
1103j
1104

FIG. 28

STRAP	LIMIT	POCKET	1		
REMOVE	NOTES	AND	PRESS	CONT.	
		CONT			

1200

FIG. 29

NO	CALL	PRESS	KEY:		
$ 1	$ 2	$ 5	$ 10	$ 20	$ 50
$ 100					
					CONT.

1300g 1300a 1300b 1300c 1300d 1300e 1300f 1301

FIG. 30

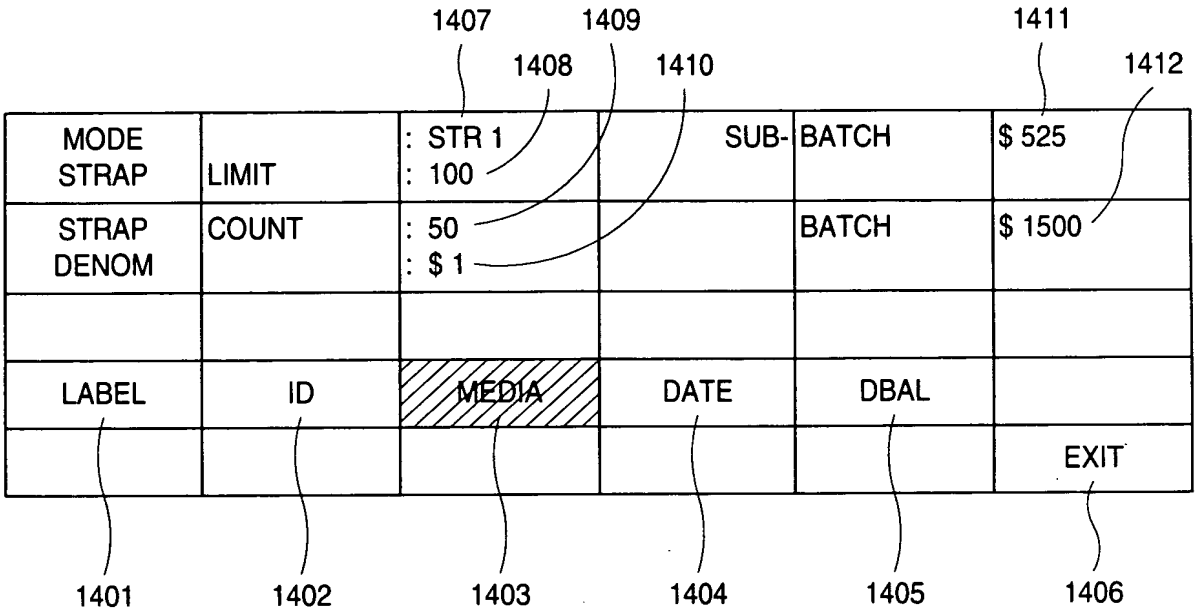
1407
1409
1411
1408
1410
1412
MODE STRAP
LIMIT
: STR 1
: 100
SUB-
BATCH
$ 525
STRAP DENOM
COUNT
: 50
: $ 1
BATCH
$ 1500
LABEL
ID
MEDIA
DATE
DBAL
EXIT
1401
1402
1403
1404
1405
1406

FIG. 31

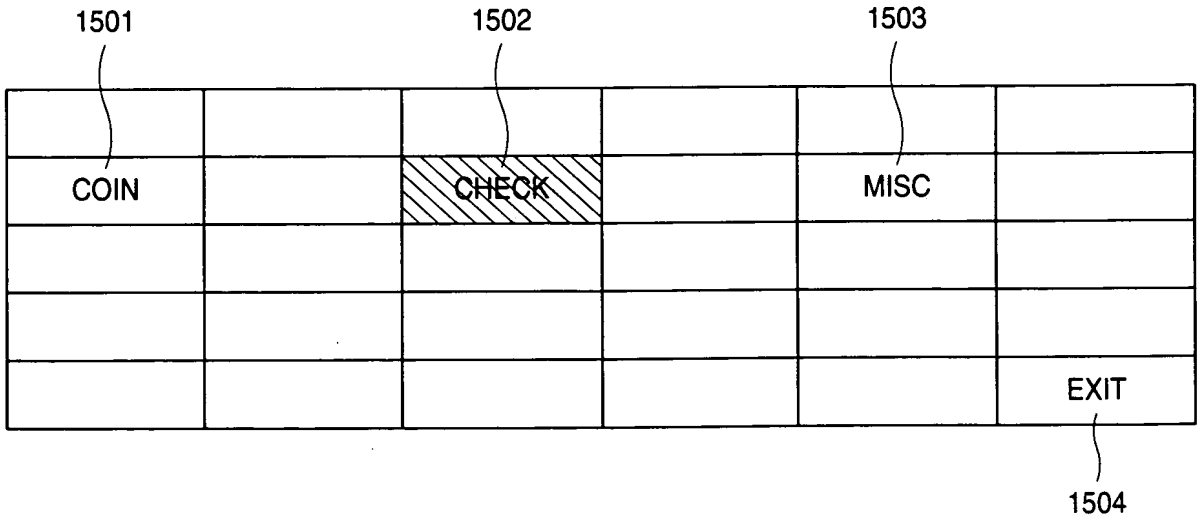
1501
1502
1503
COIN
CHECK
MISC
EXIT
1504

FIG. 32

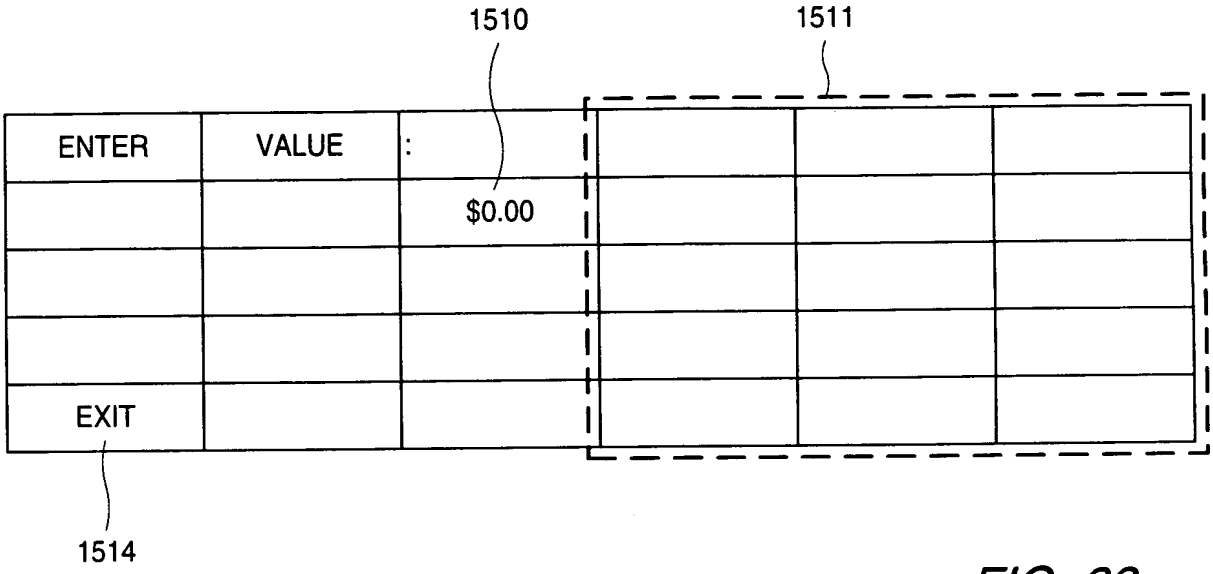
1510
1511
ENTER
VALUE
:
$0.00
EXIT
1514

FIG. 33

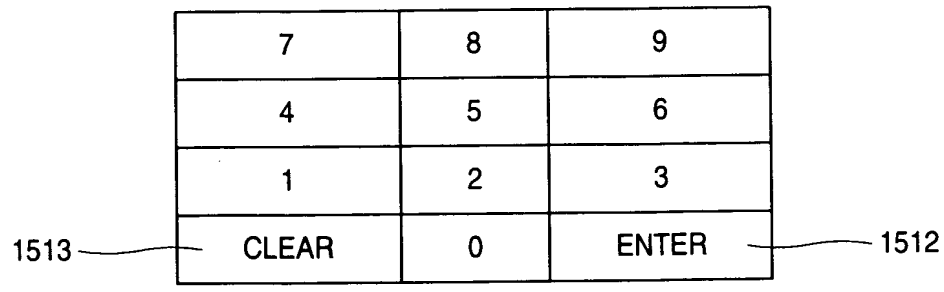
7
8
9
4
5
6
1
2
3
1513
CLEAR
0
ENTER
1512

FIG. 34

MODE: _____

	C1	C2 SELECTION	C3 OPTIONS
	TARGET 1 (POCKET 1)		
R1	DENOM. ?		OFF / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R2	FACE ?		OFF / 1st BILL / FACE UP / FACE DOWN / US
R3	ORIENTATION ?		OFF / 1st BILL / FORWARD / REVERSE / US
R4	SERIES ?		OFF / 1st BILL / DEFINED - GROUPS / US
R5	UPDATE ?		YES / NO
	TARGET 2 (POCKET 2)		
R6	DENOM. ?		OFF / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R7	FACE ?		OFF / 1st BILL / FACE UP / FACE DOWN / US
R8	ORIENTATION ?		OFF / 1st BILL / FORWARD / REVERSE / US
R9	SERIES ?		OFF / 1st BILL / DEFINED - GROUPS / US
R10	UPDATE ?		YES / NO
R11	STRANGER (S)		P1 / P2 / CONT - 2 / ST
R12	DENOM CHANGE (DC)		P1 / P2 / CONT - 2 / ST
R13	NO CALL (NC)		P1 / P2 / CONT - 2
R14	SUSPECT DOC. (SD)		P1 / P2 / CONT - 2
R15	IMPROPER SIZE (SZ)		P1 / P2 / CONT - 2
R16	UNFIT DOC. (UD)		P1 / P2 / CONT - 2
R17	REVERSE FACED (RF)		P1 / P2 / CONT - 2
R18	REV. ORIENTED (RO)		P1 / P2 / CONT - 2
R19	SEP. SERIES (SS)		P1 / P2 / CONT - 2 / ST
R20	STACKER FULL (SF)		STOP / SWITCH
R21	STRAP LIMIT (SL)		STOP / SWITCH / COMBINE P1 + P2
R22	CHAIN (C)		STOP - 1 / STOP - 2
R23	DOUBLE (D)		STOP - 1 / STOP - 2

FIG. 35

MODE: STRANGER FACING

	C1	C2	C3
		SELECTION	OPTIONS
	TARGET 1 (POCKET 1)		
R1	DENOM. ?	1st BILL	~~OFF~~ / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R2	FACE ?	1st BILL	~~OFF~~ / 1st BILL / FACE UP / FACE DOWN / US
R3	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R4	SERIES ?	OFF	OFF / 1st BILL / DEFINED - GROUPS / US
R5	UPDATE ?	NO	YES / NO
	TARGET 2 (POCKET 2)		
R6	DENOM. ?	——	~~OFF / 1st BILL / US / ALL~~ ~~$1 / $2 / $5 / $10 / $20 / $50 / $100~~
R7	FACE ?	——	~~OFF / 1st BILL / FACE UP / FACE DOWN / US~~
R8	ORIENTATION ?	——	~~OFF / 1st BILL / FORWARD / REVERSE / US~~
R9	SERIES ?	——	~~OFF / 1st BILL / DEFINED - GROUPS / US~~
R10	UPDATE ?	——	~~YES / NO~~
R11	STRANGER (S)	P2	P1 / P2 / CONT - 2 / ST
R12	DENOM CHANGE (DC)	——	~~P1 / P2 / CONT - 2 / ST~~
R13	NO CALL (NC)	P2	P1 / P2 / CONT - 2
R14	SUSPECT DOC. (SD)	P2	P1 / P2 / CONT - 2
R15	IMPROPER SIZE (SZ)	P2	P1 / P2 / CONT - 2
R16	UNFIT DOC. (UD)	P2	P1 / P2 / CONT - 2
R17	REVERSE FACED (RF)	C2	P1 / P2 / CONT - 2
R18	REV. ORIENTED (RO)	——	~~P1 / P2 / CONT - 2~~
R19	SEP. SERIES (SS)	——	~~P1 / P2 / CONT - 2 / ST~~
R20	STACKER FULL (SF)	STOP	STOP / SWITCH
R21	STRAP LIMIT (SL)	COMBINE	STOP / SWITCH / COMBINE P1 + P2
R22	CHAIN (C)	STOP - 2	STOP - 1 / STOP - 2
R23	DOUBLE (D)	STOP - 2	STOP - 1 / STOP - 2

FIG. 36

MODE: SORT 3

	C1	C2	C3
		SELECTION	OPTIONS
	TARGET 1 (POCKET 1)		
R1	DENOM. ?	$5	~~OFF~~ / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R2	FACE ?	OFF	OFF / 1st BILL / FACE UP / FACE DOWN / US
R3	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R4	SERIES ?	OFF	OFF / 1st BILL / DEFINED - GROUPS / US
R5	UPDATE ?	NO	YES / NO
	TARGET 2 (POCKET 2)		
R6	DENOM. ?	1st BILL	OFF / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R7	FACE ?	OFF	OFF / 1st BILL / FACE UP / FACE DOWN / US
R8	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R9	SERIES ?	OFF	OFF / 1st BILL / DEFINED - GROUPS / US
R10	UPDATE ?	YES	YES / NO
R11	STRANGER (S)	——	~~P1 / P2 / CONT - 2 / ST~~
R12	DENOM CHANGE (DC)	P2	P1 / P2 / CONT - 2 / ST
R13	NO CALL (NC)	P2	P1 / P2 / CONT - 2
R14	SUSPECT DOC. (SD)	P2	P1 / P2 / CONT - 2
R15	IMPROPER SIZE (SZ)	P2	P1 / P2 / CONT - 2
R16	UNFIT DOC. (UD)	P2	P1 / P2 / CONT - 2
R17	REVERSE FACED (RF)	——	~~P1 / P2 / CONT - 2~~
R18	REV. ORIENTED (RO)	——	~~P1 / P2 / CONT - 2~~
R19	SEP. SERIES (SS)	——	~~P1 / P2 / CONT - 2 / ST~~
R20	STACKER FULL (SF)	STOP	STOP / SWITCH
R21	STRAP LIMIT (SL)	STOP	STOP / SWITCH / COMBINE P1 + P2
R22	CHAIN (C)	STOP - 2	STOP - 1 / STOP - 2
R23	DOUBLE (D)	STOP - 2	STOP - 1 / STOP - 2

FIG. 37

MODE: USER - DEFINED 1

	C1	C2	C3
		SELECTION	OPTIONS
	TARGET 1 (POCKET 1)		
R1	DENOM. ?	$100	OFF / 1st BILL / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R2	FACE ?	FACE UP	OFF / 1st BILL / FACE UP / FACE DOWN / US
R3	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R4	SERIES ?	1996 +	OFF / 1st BILL / DEFINED - GROUPS / US
R5	UPDATE ?	NO	YES / NO
	TARGET 2 (POCKET 2)		
R6	DENOM. ?	$100	OFF / 1st BILL / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R7	FACE ?	FACE DOWN	OFF / 1st BILL / FACE UP / FACE DOWN / US
R8	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R9	SERIES ?	1995 -	OFF / 1st BILL / DEFINED - GROUPS / US
R10	UPDATE ?	NO	YES / NO
R11	STRANGER (S)	P2	P1 / P2 / CONT - 2 / ST
R12	DENOM CHANGE (DC)	———	~~P1 / P2 / CONT - 2 / ST~~
R13	NO CALL (NC)	P2	P1 / P2 / CONT - 2
R14	SUSPECT DOC. (SD)	P2	P1 / P2 / CONT - 2
R15	IMPROPER SIZE (SZ)	P2	P1 / P2 / CONT - 2
R16	UNFIT DOC. (UD)	P2	P1 / P2 / CONT - 2
R17	REVERSE FACED (RF)	P2	P1 / P2 / CONT - 2
R18	REV. ORIENTED (RO)	———	~~P1 / P2 / CONT - 2~~
R19	SEP. SERIES (SS)	P2	P1 / P2 / CONT - 2 / ST
R20	STACKER FULL (SF)	STOP	STOP / SWITCH
R21	STRAP LIMIT (SL)	STOP	STOP / SWITCH / COMBINE P1 + P2
R22	CHAIN (C)	STOP - 2	STOP - 1 / STOP - 2
R23	DOUBLE (D)	STOP - 2	STOP - 1 / STOP - 2

FIG. 38

MODE: USER - DEFINED 2

	C1	C2 SELECTION	C3 OPTIONS
	TARGET 1 (POCKET 1)		
R1	DENOM. ?	ALL	OFF / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R2	FACE ?	OFF	OFF / 1st BILL / FACE UP / FACE DOWN / US
R3	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R4	SERIES ?	OFF	OFF / 1st BILL / DEFINED - GROUPS / US
R5	UPDATE ?	——	~~YES / NO~~
	TARGET 2 (POCKET 2)		
R6	DENOM. ?	OFF	OFF / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R7	FACE ?	OFF	OFF / 1st BILL / FACE UP / FACE DOWN / US
R8	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R9	SERIES ?	OFF	OFF / 1st BILL / DEFINED - GROUPS / US
R10	UPDATE ?	——	~~YES / NO~~
R11	STRANGER (S)	——	~~P1 / P2 / CONT - 2 / ST~~
R12	DENOM CHANGE (DC)	——	~~P1 / P2 / CONT - 2 / ST~~
R13	NO CALL (NC)	P1	P1 / P2 / CONT - 2
R14	SUSPECT DOC. (SD)	P2	P1 / P2 / CONT - 2
R15	IMPROPER SIZE (SZ)	P2	P1 / P2 / CONT - 2
R16	UNFIT DOC. (UD)	P2	P1 / P2 / CONT - 2
R17	REVERSE FACED (RF)	——	~~P1 / P2 / CONT - 2~~
R18	REV. ORIENTED (RO)	——	~~P1 / P2 / CONT - 2~~
R19	SEP. SERIES (SS)	——	~~P1 / P2 / CONT - 2 / ST~~
R20	STACKER FULL (SF)	STOP	STOP / SWITCH
R21	STRAP LIMIT (SL)	STOP	STOP / SWITCH / COMBINE P1 + P2
R22	CHAIN (C)	STOP-1	STOP - 1 / STOP - 2
R23	DOUBLE (D)	STOP-1	STOP - 1 / STOP - 2

FIG 30

MODE: USER - DEFINED 3

	C1	C2 SELECTION	C3 OPTIONS
	TARGET 1 (POCKET 1)		
R1	DENOM. ?	OFF	OFF / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R2	FACE ?	OFF	OFF / 1st BILL / FACE UP / FACE DOWN / US
R3	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R4	SERIES ?	OFF	OFF / 1st BILL / DEFINED - GROUPS / US
R5	UPDATE ?	——	~~YES / NO~~
	TARGET 2 (POCKET 2)		
R6	DENOM. ?	ALL	OFF / 1st BILL / US / ALL $1 / $2 / $5 / $10 / $20 / $50 / $100
R7	FACE ?	OFF	OFF / 1st BILL / FACE UP / FACE DOWN / US
R8	ORIENTATION ?	OFF	OFF / 1st BILL / FORWARD / REVERSE / US
R9	SERIES ?	OFF	OFF / 1st BILL / DEFINED - GROUPS / US
R10	UPDATE ?	——	~~YES / NO~~
R11	STRANGER (S)	——	~~P1 / P2 / CONT - 2 / ST~~
R12	DENOM CHANGE (DC)	——	~~P1 / P2 / CONT - 2 / ST~~
R13	NO CALL (NC)	P2	P1 / P2 / CONT - 2
R14	SUSPECT DOC. (SD)	P1	P1 / P2 / CONT - 2
R15	IMPROPER SIZE (SZ)	P1	P1 / P2 / CONT - 2
R16	UNFIT DOC. (UD)	P1	P1 / P2 / CONT - 2
R17	REVERSE FACED (RF)	——	~~P1 / P2 / CONT - 2~~
R18	REV. ORIENTED (RO)	——	~~P1 / P2 / CONT - 2~~
R19	SEP. SERIES (SS)	——	~~P1 / P2 / CONT - 2 / ST~~
R20	STACKER FULL (SF)	STOP	STOP / SWITCH
R21	STRAP LIMIT (SL)	STOP	STOP / SWITCH / COMBINE P1 + P2
R22	CHAIN (C)	STOP-1	STOP - 1 / STOP - 2
R23	DOUBLE (D)	STOP-1	STOP - 1 / STOP - 2

FIG 40

2 -POCKET POCKET DELIVERY / STOPPING CONDITIONS								
		STR 1	STR 2	STR FO	SRT 1	SRT 2	SRT 3	SRT FO
POCKET 1	NOTE	TARGET NOTE	TARGET NOTE	TARGET NOTE FACE / ORIENT	TARGET NOTE 1 WITH AUTOMATIC DENOMINATION CHANGE	TARGET NOTE	TARGET NOTE 1	TARGET NOTE FACE / ORIENT
	STOP CONDITION	C, SL, D, SF, J; OPTIONAL: S, NC, SS, SD	C, SL, D, SF, S, NC, J, SD; OPTIONAL: SS	C, SF, J, D, SD, NC, S; SL COMBINE POCKETS; OPTIONAL: SS	C, SF, SL, J, D, NC, SD, DC; OPTIONAL: SS	C, SF, J, D, DC; OPTIONAL: NC, SS, SD	C, SF, J, SL, D, NC, SD; OPTIONAL: SS	C, SF, J, D, SD, NC, DC; SL COMBINE POCKETS; OPTIONAL: SS
POCKET 2	NOTE	NONE	TARGET NOTE	TARGET NOTE UNFACED / NON-ORIENTED	TARGET NOTE 2 WITH AUTOMATIC DENOMINATION CHANGE	NONE	NONE	TARGET NOTE UNFACED / NON-ORIENTED
	STOP CONDITION	OPTIONAL: S, NC, SS, SD	C, SL, D, SF, S, NC, J, SD; OPTIONAL: SS	C, SF, J, D, SD, NC, S; SL COMBINE POCKETS; OPTIONAL: SS	C, SF, SL, J, D, NC, SD, DC; OPTIONAL: SS	OPTIONAL: NC, SS, SD	C, SF, SL, J, D, NC, SD, DC; OPTIONAL: SS	C, SF, J, D, SD, NC, DC; SL COMBINE POCKETS; OPTIONAL: SS

FIG. 41

2 -POCKET POCKET DELIVERY / STOPPING CONDITIONS					
		MIX 1	MIX 2	MIX FO	CNT
POCKET 1	NOTE	MIXED NOTES	MIXED NOTES	FACE / ORIENT	NOTES
	STOP CONDITION	C, D, SF, J OPTIONAL: NC, SD	C, D, SF, J, NC, SD	C, SF, J, D, SD, NC	SF, J, SL, C, D, SD
POCKET 2	NOTE	NONE	MIXED NOTES	UNFACED / NON-ORIENTED	NOTES
	STOP CONDITION	OPTIONAL: NC, SD	C, D, SF, J, NC, SD	C, SF, J, D, SD, NC	SF, J, SL, C, D, SD

FIG. 42